

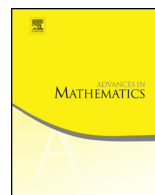


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A bound on the number of rationally invisible repelling orbits



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ABSTRACT

We consider entire transcendental maps with bounded set of singular values such that periodic rays exist and land. For such maps, we prove a refined version of the Fatou-Shishikura inequality which takes into account rationally invisible periodic orbits, that is, repelling cycles which are not landing points of any periodic ray. More precisely, if there are $q < \infty$ singular orbits, then the sum of the number of attracting, parabolic, Siegel, Cremer or rationally invisible orbits is bounded above by q . In particular, there are at most q rationally invisible repelling periodic orbits. The techniques presented here also apply to the more general setting in which the function is allowed to have infinitely many singular values.

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1. Introduction

Consider an entire transcendental function f and let $S(f)$ be its set of *singular values*

$$S(f) := \overline{\{\text{asymptotic values, critical values}\}}.$$

Then $f : \mathbb{C} \setminus f^{-1}(S(f)) \rightarrow \mathbb{C} \setminus S(f)$ is an unbranched covering of infinite degree. The closure of the orbits of all singular values is called the *postsingular set* and is denoted by

$$\mathcal{P}(f) := \overline{\bigcup_{s \in S(f), n > 0} f^n(s)}.$$

A singular value v is *non-recurrent* if it does not belong to its ω -limit set $\omega(v)$, defined as the set of accumulation points for the orbit $\{f^n(v)\}_{n \in \mathbb{N}}$.

Many of the intricate patterns that arise in the dynamics of holomorphic maps are due to the presence of singular values and to the way in which their orbits interact with each other. For example, it is not difficult to show that if the unique singular value of a quadratic polynomial is non-recurrent then the Julia set is locally connected [16, Exposé X]. Similarly, the presence of non-repelling periodic orbits is entangled with the behavior of singular orbits. For example, every immediate attracting or parabolic basin needs to contain a singular orbit, and each Cremer point or point in the boundary of a Siegel disk needs to be accumulated by points in the postsingular set [19,28].

As a consequence of this deep relationship, it is possible to give an upper bound for the number of non-repelling cycles in terms of the number of singular values. This is known as the *Fatou-Shishikura inequality* [34,17], and it states that if an entire map (polynomial or transcendental) has q singular values, then

$$N_{\text{non-repelling}} \leq q,$$

where $N_{\text{non-repelling}}$ stands for the number of attracting, parabolic, Cremer and Siegel cycles. The proof of this celebrated result relies on perturbations in parameter space. However, with additional dynamical assumptions on the map (for example, bounded postsingular set), a more combinatorial approach in the dynamical plane also associates each non-repelling cycle to a singular orbit in a precise mathematical way, and in such a way that the latter cannot be associated to any other non-repelling cycle [25,6].

To be somewhat more precise on this extra assumption, we must talk about *rays* [16,28,33]. For polynomials, and for many transcendental maps the *escaping set*, defined as

$$I(f) := \{z \in \mathbb{C}; f^n(z) \rightarrow \infty\},$$

consists of injective, mutually disjoint curves $G : (0, \infty) \rightarrow I(f)$ tending to infinity as $t \rightarrow \infty$. These are called *external rays* for polynomials and *dynamic rays* (or *hairs*) for

transcendental maps (see Section 2 for a precise definition), although in this paper we will often call them just *rays*. A ray G is *periodic* if $f^n(G) \subset G$ for some $n \in \mathbb{N}$, and we say that it *lands* at a point $z_0 \in \mathbb{C}$ if $G(t) \rightarrow z_0$ as $t \rightarrow 0$. Periodic rays can only land at parabolic or repelling periodic points by the Snail Lemma [19,28].

Polynomial rays foliate the attracting basin of infinity and hence lie in the Fatou set. Their landing is tightly related to the topology of the Julia set. Indeed, the Julia set is locally connected if and only if all rays land, in which case the Julia set can be parametrized by the unit circle. For transcendental maps, the situation is more complex. To start with, it is not always true that the escaping set is formed by rays, although this is the case for a wide class of entire transcendental functions [33,8]. This class includes the class $\mathcal{B}_{\text{rays}}$ of functions which are finite compositions of functions of finite order with bounded set of singular values, and for such functions the escaping set lies entirely in the Julia set.

In the case of polynomials or maps in $\mathcal{B}_{\text{rays}}$, if the postsingular set is bounded all periodic rays land (at repelling or parabolic) periodic points [16,23,28,30,12]. Conversely, one may ask whether every repelling or parabolic point is the landing point of a ray or, in other words, whether repelling and parabolic points are always accessible from the escaping set. The answer to this question is not always positive and motivates the following definition.

Definition 1.1 (*Rationally invisible periodic orbit*). A repelling periodic orbit of an entire map (polynomial or transcendental) is called *rationally invisible* if one of the points in the orbit (and hence all of them) is not the landing point of any periodic ray.

The non-existence of rationally invisible periodic orbits, whenever it can be proven, has consequences for the study of parameter spaces. In polynomial dynamics, for example, it represents the starting point for Yoccoz puzzle and for much of the machinery which lead to most of the actual rigidity results. In transcendental dynamics, it is related to the non-existence of ghost limbs attached to hyperbolic components. It is therefore of interest to understand the situations under which these special orbits may exist.

As it turns out, rationally invisible orbits, despite being repelling, are also tightly related to the orbits of the singular values and more precisely, to unbounded singular orbits. Indeed, if an entire map (polynomial or transcendental in $\mathcal{B}_{\text{rays}}$) has a bounded postsingular set, then every repelling or parabolic periodic point is the landing point of at least one and at most finitely many periodic rays, and hence there are no rationally invisible orbits (see [16,23,28] for polynomials, [4,7,8] for transcendental).

In the absence of this boundness restriction, one would like to give an upper bound for the number of rationally invisible periodic orbits in terms of the number of singular values, so as to produce a refinement of the Fatou-Shishikura inequality. This is indeed the case for polynomials [26, Corollary 1], [10], and also for transcendental maps, as we show in the main result of this paper (see also Theorem 5.1 for a stronger statement).

As usual we say that a singular value escapes along periodic rays if its orbit converges to infinity and eventually belongs to a cycle of periodic rays.

Main Theorem. *Let $f \in \mathcal{B}_{\text{rays}}$ such that periodic rays land and assume that there are no singular values escaping along periodic rays. Suppose that f has at most $q < \infty$ singular orbits which do not belong to attracting or parabolic basins.*

Let $N_{\text{indifferent}}$ denote the number of Cremer cycles and cycles of Siegel disks, and $N_{\text{invisible}}$ denote the number of rationally invisible orbits. Then we have

$$N_{\text{indifferent}} + N_{\text{invisible}} \leq q.$$

In particular, there are at most q rationally invisible repelling periodic orbits.

One may wonder about how strong is the assumption that periodic rays land in the transcendental setting. For polynomials, this assumption is equivalent to the assumptions that no critical points escape along periodic external rays and is implied by the standard assumption of the Julia set being connected. It is expected that also in the transcendental case a periodic ray lands unless its forward orbit contains a singular value. This has been proven for functions in the exponential family using parameter space based arguments [29], which seem to be out of reach even for functions with finitely many singular values. The assumption that there are no singular values escaping along periodic rays is evidently weaker than the hypothesis that periodic rays do not intersect the postsingular set. In fact, the latter hypothesis implies landing of periodic rays [30].

The Main Theorem has the following immediate corollary.

Corollary 1.2. *Let $f \in \mathcal{B}_{\text{rays}}$ such that periodic rays land and assume that there are no singular values escaping along periodic rays. Suppose that f has at most q singular orbits which do not belong to attracting or parabolic cycles. Then there are at most q repelling periodic orbits which are rationally invisible.*

The only previous known result in the direction of putting a bound on the number of rationally invisible periodic orbits of transcendental maps is due to Rempe-Gillen [29], and states that for any $f_c(z) = e^z + c$ there is at most one rationally invisible periodic orbit. The proof uses arguments in the parameter space of the exponential family and relies crucially on the existence and structure of wakes in the parameter plane.

Instead, the proof that we present in this paper uses the structure of the dynamical plane carved by periodic rays [4], and is of a local nature. As a bonus, it also gives more information about the accumulation behavior of the singular orbits (see Theorem 5.1).

As a concluding remark, let us note that in exponential dynamics, for parameters for which the postsingular set is bounded there are no rationally invisible repelling periodic orbits, and this fact implies that such parameters cannot belong to ghost limbs attached to hyperbolic components (see Theorem 4, the final conjecture in [29], and the last section in [7]). This has also been used for some of the rigidity results in [3]. This

type of results increases our current knowledge of parameter spaces. For families of transcendental functions with more than one singular value this knowledge is currently very limited, but there is no doubt that it will undergo an important development in the next decades. We hope that the results and the techniques developed in this paper will be a little brick in the implementation of this large project.

The paper is structured as follows. Section 2 contains the background about functions in class $\mathcal{B}_{\text{rays}}$ and their combinatorics and presents the Separation Theorem [4], a key tool for the proof of the main result. It describes also fundamental tails, objects introduced in [8] which can be seen as intermediate steps in the construction of rays, and which despite their intricate combinatorics, have proven to be useful in the proof of several recent results. In Section 3 we give a characterization of landing of periodic rays in terms of some combinatorics of tails.

Meanwhile, Section 5 contains the statement and the proof Theorem 5.1, from which the Main Theorem follows, a relation which is made explicit in Section 5. Section 5 contains also a corollary (see Corollary 5.7) stating that, under our assumptions, the union of the dynamical fibers (as in the definition of [31]) of a rationally invisible repelling periodic orbit contains either a singular orbit, or infinitely many singular values whose orbits belong to the fiber for more and more iterations.

1.1. Notation

Let \mathbb{C} denote the complex plane, \mathbb{D} the unit disk. The Euclidean disk of center z and radius r is denoted by $\mathbb{D}_r(z)$. By a (univalent) preimage under f^n of an open connected set V we mean a connected component U of the set $f^{-n}(V)$ (such that $f^n : U \rightarrow V$ is univalent). Given a set A and $k \in \mathbb{N}$ we denote by $\{A\}^k$ the set $A \times \dots \times A$ where the product is taken k times.

2. Background

2.1. Tracts, fundamental domains, and dynamic rays

Let f be an entire transcendental function with bounded set of singular values and let D be a Euclidean disk containing $S(f)$ and $f(0)$. The connected components of $f^{-1}(\mathbb{C} \setminus \overline{D})$ are called *tracts* [17] and are unbounded and simply connected. By definition for any tract T we have that $f : T \rightarrow \mathbb{C} \setminus \overline{D}$ is an unbranched covering of infinite degree. Let \mathcal{T} be the union of all tracts. It is not difficult to find an analytic curve $\delta \subset \mathbb{C} \setminus (\overline{D} \cup \mathcal{T})$ connecting ∂D to ∞ ([32]; see also [4, Lemma 2.1]). Let $\Omega := \mathbb{C} \setminus (\overline{D} \cup \delta)$. The connected components of $f^{-1}(\Omega)$ are called *fundamental domains*. It is easy to see that only finitely many fundamental domains intersect D and that for any fundamental domain F we have that $f : F \rightarrow \Omega$ is a biholomorphism. We denote by \mathcal{F} the collection of all fundamental domains, as well as their union.

The structure of the dynamical plane given by tracts and fundamental domains has been useful to construct dynamic rays. The initial idea of finding curves in the escaping set of transcendental entire functions goes back to [20], was later developed in [14], [15], [13], [2], [1], [33] among others.

Definition 2.1 (Dynamic ray). A (dynamic) ray for f is an injective curve $G : (0, \infty) \rightarrow I(f)$ such that:

- (a) $\lim_{t \rightarrow \infty} |f^n(G(t))| = \infty \ \forall n \geq 0$;
- (b) $\lim_{n \rightarrow \infty} |f^n(G(t))| = \infty$ uniformly in $[t_0, \infty)$ for all $t_0 > 0$;
- (c) $f^n(G(t))$ is not a critical point for any $t > 0$ and $n \geq 0$;

and such that $G(0, \infty)$ is maximal with respect to these properties. If $G(0, \infty)$ is maximal with respect to (a) and (b) but not with respect to (c), then we call the ray *broken*.

Broken rays could therefore be continued if we allowed critical points and their iterated preimages to be part of the ray, as it is the case in the definition in [33], where branching might occur and several rays might share one same arc. This situation cannot happen in our setting, i.e. rays are pairwise disjoint.

A dynamic ray G is *periodic* if $f^p(G) = G$ for some $p \geq 1$, and *fixed* if $p = 1$. We say that a dynamic ray *lands* at a point $z_0 \in \mathbb{C}$ if it is not broken and $\lim_{t \rightarrow 0} G(t) = z_0$. Observe that dynamic rays are allowed to land at singular values, but that broken rays are not considered to land.

Recall that $\mathcal{B}_{\text{rays}}$ denotes the class of transcendental entire functions which are finite compositions of functions of finite order with bounded set of singular values. In [33, Theorem 1.2] it is shown that for any $f \in \mathcal{B}_{\text{rays}}$ and for any escaping point z then $f^n(z)$ belongs to a dynamic ray for any n large enough. For this paper we need to take into account a combinatorial description of dynamic rays, which is implicitly contained in [33] and in several of the aforementioned papers but for which we use the explicit setup that has been presented in [4].

We say that a dynamic ray G is *asymptotically contained* in a fundamental domain F if $G(t) \in F$ for all t sufficiently large. It is easy to see that this is always the case, as stated in the following lemma.

Lemma 2.2 (See e.g. Lemma 2.3 in [4]). *Let $f \in \mathcal{B}_{\text{rays}}$. Then every dynamic ray is asymptotically contained in a fundamental domain.*

Let us consider the symbolic space formed by all infinite sequences of fundamental domains

$$\mathcal{F}^{\mathbb{N}} = \{ \underline{s} = F_0 F_1 F_2 \dots \}$$

endowed with the dynamics of the shift map $\sigma : \mathcal{F}^{\mathbb{N}} \rightarrow \mathcal{F}^{\mathbb{N}}$, $\sigma F_0 F_1 F_2 \dots = F_1 F_2 F_3 \dots$. For $\underline{s} = F_0 F_1 \dots \in \mathcal{F}^{\mathbb{N}}$, the set $\sigma^{-1} \underline{s}$ of its preimages is given by all sequences of the form $F \underline{s} := F F_0 F_1 \dots$ where $F \in \mathcal{F}$.

Definition 2.3. We say that a dynamic ray G has *address* $\underline{s} = F_0 F_1 \dots \in \mathcal{F}^{\mathbb{N}}$ and we denote it by $G_{\underline{s}}$ if and only if $f^j(G_{\underline{s}})$ is asymptotically contained in F_j for all j .

It follows directly from the construction in [33] that given an address \underline{s} the ray $G_{\underline{s}}$, if it exists, is unique, and that for rays which are not broken we have that

$$f(G_{\underline{s}}) = G_{\sigma \underline{s}}$$

and that

$$\{f^{-1}G_{\underline{s}}\} = \{G_{F\underline{s}} : F \in \mathcal{F}\}.$$

This implies that a dynamic ray $G_{\underline{s}}$ is periodic if and only if \underline{s} is periodic. We say that $G_{\underline{s}}$ has *bounded address* if \underline{s} is bounded, i.e. its entries take values over finitely many fundamental domains.

The next proposition is [4, Proposition 2.11], where it is proven using results and ideas from [14] and [33]. It previously appeared in different formulations in [30], [2].

Proposition 2.4. *If $f \in \mathcal{B}_{\text{rays}}$ and $\underline{s} \in \mathcal{F}^{\mathbb{N}}$ is bounded then there exists a unique dynamic ray $G_{\underline{s}}$ with address \underline{s} for f .*

Remark 2.5. A generalization of rays for functions with not as beautiful a geometry as functions in class $\mathcal{B}_{\text{rays}}$ can be found in [8]. The unbounded, connected sets which take the place of rays are called *dreadlocks*. Despite not being curves, dreadlocks have the same combinatorial structure as rays. The results that are presented for rays in this section also hold for dreadlocks.

2.2. The Separation Theorem

Goldberg and Milnor [21] proved that for polynomials with connected Julia set, the set of fixed rays together with their landing point separate the set of fixed points which are not landing points of fixed rays; such points include all attracting, Siegel and Cremer parameters.

Goldberg-Milnor’s theorem has been generalized to entire transcendental maps in class $\mathcal{B}_{\text{rays}}$, under the assumption that periodic rays land [4]. In order to state the theorem we need to introduce the notion of *basic regions* and *interior fixed points*, following [21] and [4]. Fix p and assume that periodic dynamic rays land. Let Γ denote the closed graph formed by the rays fixed by f^p together with their landing points. The connected components of $\mathbb{C} \setminus \Gamma$ are called the *basic regions for f^p* . An *interior fixed point* for f^p

is a periodic point for f which is fixed by f^p and which is not the landing point of any periodic ray which is fixed by f^p . Note that attracting, Siegel and Cremer points as well as rationally invisible repelling periodic points are interior periodic points for f^p for all p , while parabolic and repelling periodic points may be interior or not depending on p . For example a fixed point which is the landing point of a cycle of periodic rays of period 3 is interior for f but not for f^3 .

Theorem 2.6 (*Separation Theorem Entire [4]*). *Let $f \in \mathcal{B}_{\text{rays}}$, $p \in \mathbb{N}$ and assume that all periodic rays for f which are fixed by f^p land. Then there are finitely many basic regions for f^p , and each basic region contains exactly one interior fixed point for f^p , or exactly one attracting parabolic basin which is invariant under f^p .*

Theorem 2.6 has many corollaries, including that parabolic points are always landing points of periodic dynamic rays (whose period equals the period of the attracting basins), and that hidden components of a Siegel disk are preperiodic to the Siegel disk itself (see [11], [5] for an application of this fact to the existence of critical points on the boundary of Siegel Disks). It has recently been used in [6] to associate non-repelling cycles to singular orbits under the hypothesis that periodic rays land.

2.3. A couple of useful lemmas

The following two general lemmas will be used several times in the sequel. The first of them is Lemma 2.1 in [8]

Lemma 2.7. *Let $f : \mathbb{C} \rightarrow \mathbb{C}$ holomorphic, $U \subset \mathbb{C}$ be a connected set with locally connected boundary. Then for any compact set $K \in \mathbb{C}$, only finitely many connected components of $f^{-1}(U)$ intersect K .*

Lemma 2.8 (*Forward invariant boundary*). *Let f be holomorphic, B be a region whose boundary is forward invariant, V be an open subset of \mathbb{C} which does not intersect the boundary of B . Then for any connected component U of $f^{-1}(V)$ we have that U is either contained in B or in $\mathbb{C} \setminus \overline{B}$.*

Proof. Otherwise, $U \cap \partial B \neq \emptyset$. Since $f(\partial B) \subset \partial B$ it follows that $V \cap \partial B \neq \emptyset$ contradicting the hypothesis. \square

3. Fundamental tails for a repelling periodic orbit

Fundamental tails are relatively new objects introduced in [8] for functions with bounded postsingular set. They already found application in [18]. Fundamental tails are preimages of fundamental domains under finitely many iterates, and hence are nice

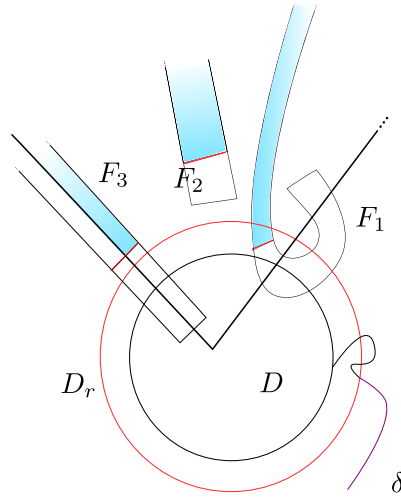


Fig. 1. Definition of $F^{B,r}$ when B is a single basic region. The region B is shown together with the disk D used to define fundamental domains. For simplicity only 3 fundamental domains F_1, F_2, F_3 are shown. The circle of radius r and its preimages inside F_1, F_2, F_3 are in red. Shaded in light blue are the tails of level 1 for the disk D_r corresponding to F_1, F_2, F_3 . The curve δ_r is in purple. (For interpretation of the colors in the figure(s), the reader is referred to the web version of this article.)

open sets. Loosely speaking they can be thought of as approximation of rays, which despite being topologically curves, do not necessarily have nice geometric properties. In what follows we give a precise definition of tails under weaker assumptions than in the original setting.

Let $f \in \mathcal{B}_{\text{rays}}$ whose periodic rays land. Let z_0 be a repelling periodic point of minimal period m and let $\mathcal{X} = \{z_0, z_1 \dots z_{m-1}\}$ be its orbit labeled so that $f(z_i) = z_{i+1}$ with indices taken modulo m . Let p be a multiple of m . Suppose that z_0 is an interior fixed point for f^p , and consider the basic regions $B_0, \dots B_{m-1}$ for f^p which contain the elements of \mathcal{X} , namely, $z_i \subset B_i$ for $i = 0, \dots, m - 1$. The indices of the basic regions $\{B_i\}$ will also be taken modulo m .

Let B denote the union of the B_i . Since there are only finitely many basic regions for f^p (see Theorem 2.6), the boundary of B contains finitely many pairs of rays which are fixed under f^p , together with their landing points. Let D, δ as in Section 2. Let \mathcal{F}_B be the collection of fundamental domains intersecting B for D, δ .

Fix $r > 0$ such that $r > |z_i|$ for all $i \in \mathcal{X}$ and let $D_r \supset D$ be the Euclidean disk of radius r centered at 0. Let $\delta_r \subset \delta$ be the unbounded connected component of $\delta \setminus D_r$. For any fundamental domain $F \in \mathcal{F}_B$ let $F^{B,r}$ be the unique unbounded connected component of $F \cap B \cap f^{-1}(\mathbb{C} \setminus (\overline{D_r} \cup \delta_r))$. This is the same as saying that we are considering $F^{B,r}$ to be the unique unbounded connected component of the fundamental domains obtained by using D_r, δ_r instead of D, δ , intersected with B . (See Fig. 1.)

For any $F \in \mathcal{F}_B$ we have that $F^{B,r}$ does not intersect ∂B , so by Lemma 2.8 for any n we have that any connected component of $f^{-n}(F^{B,r})$ is contained in either B or $\mathbb{C} \setminus \overline{B}$.

Definition 3.1 (*Fundamental tails for z_0*). Let z_0, m be as above. The set of tails of level 1, that we denote by $\mathcal{T}_1 = \mathcal{T}_1(r)$, is the set of F where $F \in \mathcal{F}_B$ and $F \subset B_0$. Since $D_r \supset D$, we have that $f|_\tau : \tau \rightarrow \mathbb{C} \setminus (\overline{D_r} \cup \delta_r)$ is univalent for any $\tau \in \mathcal{T}_1$. We define tails of level n by induction. Suppose that we have defined the set \mathcal{T}_n of tails of level n and let us define the set \mathcal{T}_{n+1} of tails of level $n + 1$.

We say that η is a tail of level $n + 1$ (for z_0, r) if it satisfies the following.

- η is a connected component of $f^{-m}(\tau)$ for some $\tau \in \mathcal{T}_n$;
- $\eta \subset B_0$ and $f^m : \eta \rightarrow \tau$ is univalent;
- $f^i(\eta) \subset B_i$ for $i = 0, \dots, m - 1$.

It follows that if $\eta \in \mathcal{T}_n$, $f^{m(n-1)} : \eta \rightarrow \tau$ is univalent, where τ is some element of \mathcal{T}_1 .

The definition above depends on the choice of z_0, p and r . The point z_0 , its period m , and the period p of the basic regions are fixed throughout the section, while r may vary. With this definition all tails of all levels are contained in the basic region B_0 which contains z_0 , and have the following properties.

Lemma 3.2. *Let τ be a fundamental tail of level n for z_0, r . Then:*

- τ is asymptotically contained in a unique fundamental domain $F_0 \in \mathcal{F}_B$ which intersects B_0 , that is, there is a unique fundamental domain $F_0 \in \mathcal{F}_B$ which intersect B_0 and such that $\tau \cap F_0$ is unbounded.
- For $j = 1, \dots, m(n - 1)$, $f^j(\tau)$ is asymptotically contained in a fundamental domain F_j which intersects B_j , that is, there is a unique fundamental domain F_j which intersects B_j and such that $\tau \cap F_j$ is unbounded.

Proof. The proof follows from the definition of fundamental tails. \square

Lemma 3.2 gives a way to dynamically associate a finite sequence of fundamental domains (called an *address*) to each tail $\tau \in \mathcal{T}_n$, similarly to the way in which we associate addresses to dynamic rays. Compare with Definition 3.7 and 3.8 in [8].

Definition 3.3 (*Addresses of fundamental tails*). Let τ be a fundamental tail of level n and let $\underline{s} = F_0 F_1 \dots F_{m(n-1)}$ be the sequence of fundamental domains given by Lemma 3.2. We say that \underline{s} is the (finite) *address* of τ . Observe that \underline{s} has length $\ell_n = m(n - 1) + 1$. When it exists, we define $\tau_n(\underline{s})$ to be the unique tail of level n and address \underline{s} . Uniqueness is given by the fact that for each fundamental domain F we have that $f : F \rightarrow \mathbb{C} \setminus (\overline{D} \cup \delta)$ is a homeomorphism.

At first glance one may expect that all sequences whose elements are fundamental domains intersecting B should be realized. However, some of these fundamental domains are only partially contained in B , and this prevents the existence of some tails. One can

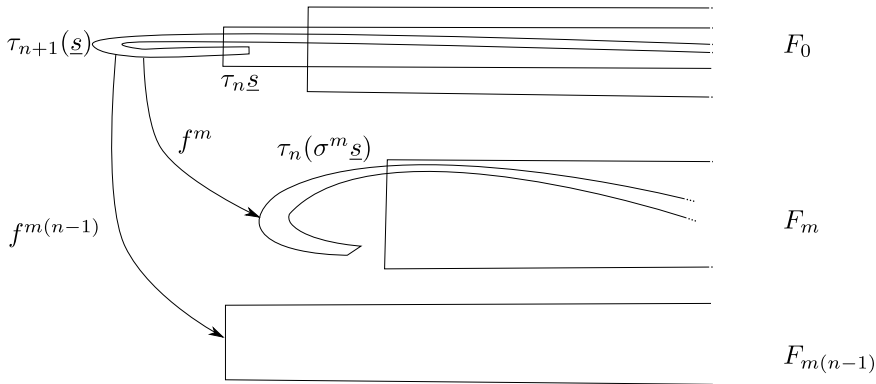


Fig. 2. Let $\underline{s} = F_0 F_1 \dots F_n \dots \in \mathcal{A}_B$ be an infinite address. The fundamental tail $\tau_{n+1}(\underline{s})$ is contained in $\tau_n(\underline{s})$ for points with large modulus. The fundamental tail $\tau_{n+1}(\underline{s})$ is mapped to $\tau_n(\sigma^m \underline{s})$ under f^m .

characterize precisely the set of addresses which are realized but this is not needed for our purposes.

The set of addresses of tails of level n is contained in $\{\mathcal{F}_B\}^{\ell_n} = \mathcal{F}_B \times \mathcal{F}_B^{m(n-1)}$. Consider infinite sequences in $\{\mathcal{F}_B\}^{\mathbb{N}}$. There is a natural projection

$$\pi_n : \{\mathcal{F}_B\}^{\mathbb{N}} \rightarrow \{\mathcal{F}_B\}^{\ell_n}$$

which maps an infinite sequence \underline{s} to the finite address consisting of its first ℓ_n entries. In this sense, whenever it exists, we can define the tail of level n and address $\underline{s} \in \{\mathcal{F}_B\}^{\mathbb{N}}$ as the tail of level n and address $\pi_n(\underline{s})$. We refer to elements in $\{\mathcal{F}_B\}^{\mathbb{N}}$ as (infinite) addresses, despite the fact that not all of them are realized as fundamental tails of arbitrarily high levels. See Fig. 2.

The set of admissible addresses is denoted by

$$\mathcal{A}_B = \mathcal{A}_B(z_0, p, r) := \{\underline{s} \in \{\mathcal{F}_B\}^{\mathbb{N}} : \text{the tail } \tau_n(\underline{s}) \text{ is well defined for all } n\}. \quad (3.1)$$

Definition 3.4 (Pullback along an address). Let $r > 0$ and consider the fundamental tails \mathcal{T}_1 of level 1 for r . Let $\underline{s} = F_0 F_1 \dots F_{\ell_n-1} \in \{\mathcal{F}_B\}^{\ell_n}$ such that the tail $\tau_n(\underline{s})$ exists for some n . Let $\zeta \in B_0$. When it exists, we define $\zeta_n(\underline{s})$ to be the unique point in $f^{-nm}(\zeta) \cap \tau_n(\underline{s})$.

Let $\tau_n(\underline{s})$ be a tail of level n . The map $f^{m(n-1)} : \tau_n(\underline{s}) \rightarrow \tau_1(\sigma^{m(n-1)} \underline{s})$ is univalent, hence f^{mn} is a univalent map from $\tau_n(\underline{s})$ to $\mathbb{C} \setminus (\overline{D}_r \cup \delta_r)$ (not necessarily surjective). So, if ζ does have a preimage in $\tau_n(\underline{s})$, such a preimage is unique.

Lemma 3.5. A point $z \in \tau_n(\underline{s})$ for some $n \geq 1$ and some $\underline{s} \in \{\mathcal{F}_B\}^{\ell_n}$ if and only if $f^{jm}(z) \in \tau_{n-j}(\sigma^{mj}(\underline{s})) \subset B_0$ for all $j = 1 \dots n - 1$.

Proof. This follows from the definition of fundamental tails. \square

We now show that up to taking r large enough, all possible fundamental tails of all levels exist unless $B = \bigcup_i B_i$ contains singular orbits which follow the itinerary of z_0 with respect to the partition into basic regions for f^p .

Recall that indices of the orbit of z_0 as well as indices of the basic regions containing them are taken modulo m , and that $f^j(z_0) \in B_j$ for all $j \geq 0$.

Let $S_B(f)$ be the set of singular values which are contained in B , that is

$$S_B(f) = S(f) \cap B.$$

For every $s \in S_B(f)$ let $i(s) \in \{0, \dots, m-1\}$ be such that $s \in B_{i(s)}$, and let $n(s)$ maximal be such that for all $0 \leq j \leq n(s)$ we have that $f^j(s) \in B_{i(s)+j}$. Let

$$\mathcal{P}_B(f) := \overline{\bigcup_{s \in S_B(f)} \left(\bigcup_{n \leq n(s)} f^n(s) \right)}. \tag{3.2}$$

Observe that $\mathcal{P}_B(f)$ is smaller than $\mathcal{P}(f) \cap B$, and that it is forward invariant in the sense that

$$f(\mathcal{P}_B(f) \cap B_i) \cap B_{i+1} \subset \mathcal{P}_B(f). \tag{3.3}$$

Proposition 3.6 (*Existence of fundamental tails*). *Let $f \in \mathcal{B}_{\text{rays}}$ such that periodic rays land. Let $\mathcal{X} = \{z_0, \dots, z_{m-1}\}$ be a repelling periodic orbit of period m and let p be any multiple of m . Suppose that $f(z_i) = z_{i+1} \pmod m$. Let $\{B_i\}_{i=0 \dots m-1}$ be the basic regions for f^p containing the elements of \mathcal{X} . Then at least one of the following is true.*

- (1) *There exists a singular value s for f such that $s \in \bigcup_{i=0}^{m-1} B_i$, say $s \in B_{i(s)}$, and such that for all $n \geq 0$ we have that $f^n(s) \in B_{i(s)+n}$.*
- (2) *There are infinitely many singular values s_j for f in at least one of the basic regions B_i , say B_0 , and a sequence $n_j \rightarrow \infty$ as $j \rightarrow \infty$ such that for all $n \leq n_j$ we have that $f^n(s_j) \in B_n$.*
- (3) *The set $\mathcal{P}(f)$ is bounded, and there exists $r > 0$ such that all tails of all levels are well defined for z_0, p, r . More precisely, this means the following. Let $\tau \in \mathcal{T}_1(r)$, $n \geq 0$, and $\tilde{\tau}$ a connected component of $f^{-nm}(\tau)$ for which $f^j(\tilde{\tau}) \subset B_j$ for $j \leq nm$. Then $f^{mn} : \tilde{\tau} \rightarrow \tau$ is univalent.*

Proof. We first claim that if neither case (1) nor case (2) occur, the set $\mathcal{P}_B(f)$ is bounded. Indeed we have that

$$N := \sup_{s \in S_B(f)} n(s) < \infty,$$

hence

$$\mathcal{P}_B(f) \subset \bigcup_{j \leq N} \overline{f^j(S_B(f))}$$

and each of the sets $\overline{f^j(S_B(f))}$ is bounded because $S_B(f)$ is bounded, and hence a finite union thereof is also bounded.

If $\mathcal{P}_B(f)$ is bounded, let $r > 0$ be such that the tails of level 1 for D_r do not intersect $\mathcal{P}_B(f)$. This can be done by taking $D_r \supset (D \cup \mathcal{P}_B(f) \cup f(\mathcal{P}_B(f)))$ (notice that $f(\mathcal{P}_B(f))$ is not contained in B).

We claim that all tails of all levels are defined for such r . Indeed, suppose that this is not the case. Then there exists a minimal $k > 0$, a tail $\tau_k(\underline{s}) \in \mathcal{T}_k(r)$, and a connected component $V \in f^{-m}(\tau_k(\underline{s})) \cap B_0$ such that $f^m : V \rightarrow \tau_k(\underline{s})$ is not univalent and such that $f^j(V) \in B_j$ (by definition of tails for z_0). Since $\tau_k(\underline{s})$ is simply connected, this occurs if and only if there exists $j \leq m$ such that $f^j(V) \subset B_j$ contains a singular value s .

By definition of tails, there exists some $\tau \in \mathcal{T}_1$ such that $f^{m(k-1)-j}(f^j(V)) \subset \tau \subset B_0$, hence $f^{m(k-1)-j}(s) \in \tau$. Since the orbit of s follows the orbit of $f^j(V)$ (that is, $f^\ell(s) \in f^\ell(f^j(V)) \subset B_{(\ell+j)}$) we have that $f^{m(k-1)-j}(s) \in \mathcal{P}_B$. This contradicts the fact that by choice of r , $\mathcal{T}_1 \cap \mathcal{P}_B(f) = \emptyset$. \square

Let us point out that $\mathcal{P}(f) \cap B$ may well be unbounded even if \mathcal{P}_B is not.

Given Proposition 3.6, the strategy for proving the Main Theorem will be to show that in case (3), that is, in the absence of trapped singular values, every repelling periodic point is the landing point of a periodic ray.

4. Definition of landing and shrinking lemma

In the current section we give an abstract definition of landing and we prove a lemma that will be used in Section 5 (compare with the abstract characterization of landing in [8]).

Let z_0 be a repelling periodic point of period m , p be a multiple of m , B and B_i be basic regions for f^p as in Section 3. In this section we assume that we are in case (3) of Proposition 3.6, that is, there exists $r > 0$ such that all tails of all levels are well defined for z_0, p, r . In particular, for any $\tau \in \mathcal{T}_1$, for every $n \geq 0$, and for every connected component $\tilde{\tau}$ of $f^{-mn}(\tau)$ for which $f^j(\tilde{\tau}) \subset B_j$ for $j \leq n$, we have that $f^{mn} : \tilde{\tau} \rightarrow \tau$ is univalent.

Let \mathcal{A}_B as in (3.1) be the set of addresses for which tails of all levels are well defined for z_0, p, r .

The following lemma establishes one of the fundamental relations between rays and tails of the same address.

Lemma 4.1 (*Rays versus tails*). *Let $\underline{s} = F_0F_1F_2 \dots \in \mathcal{A}_B$. Then for every $z \in G_{\underline{s}}(t)$ there exists n_z such that the arc connecting z to infinity in $G_{\underline{s}}$ is fully contained in $\tau_n(\underline{s})$ for all $n \geq n_z$.*

Proof. Let D_r be the Euclidean disk of radius r defined in the proof of Proposition 3.6, and consider the curve $\delta_r \subset \delta$ which starts from the last intersection of δ with D_r . Only for the proof of this lemma, let $\{F_i\}$ be the fundamental domains obtained by taking preimages of $\mathbb{C} \setminus (\overline{D_r} \cup \delta_r)$ and \mathcal{F} be the union of all fundamental domains for f with respect to this choice of D_r . By Lemma 2.2, the dynamic rays $f^i(G_{\underline{s}})$ are asymptotically contained in F_i for all i . Let $G_{\underline{s}}(t) : (0, \infty) \rightarrow I(f)$ be a continuous parametrization of $G_{\underline{s}}$ such that $|G_{\underline{s}}(t)| \rightarrow \infty$ as $t \rightarrow \infty$. Recall that points in $G_{\underline{s}}([T, \infty])$ escape uniformly to infinity for every $T > 0$. So for each $z = G_{\underline{s}}(T)$ there exists n_z such that for all points $G_{\underline{s}}(t)$ with $t > T$ we have

$$f^n(G_{\underline{s}}(t)) \in \mathcal{F} \text{ for every } n \geq n_z$$

(otherwise, $f^{n+1}(G_{\underline{s}}(t))$ would belong to the bounded set D_r , or to the curve δ_r which is mapped to D_r at the next iterate, contradicting the uniform escape to infinity).

Hence we have that $f^n(G_{\underline{s}}(T, \infty)) \subset F_n$ for $n \geq n_z$ and, by definition of tails, $G_{\underline{s}}(T, \infty) \subset \tau_n(\underline{s})$ for all $n \geq n_z$. \square

The following Lemma is a Euclidean version of a classical Lemma which holds for the spherical metric (see for example [27], Proposition 3. Similar lemmas have been used in [7], [8] and in many other papers). For this lemma we do not need the assumption that all tails are well defined as long as we restrict to univalent preimages.

Lemma 4.2 (Shrinking Lemma). *Let f be holomorphic. Let $V' \subset \mathbb{C}$ be a simply connected open set intersecting the Julia set. Fix a compact set $K \subset \mathbb{C}$. For each n consider all connected components $V'_{n,\lambda}$ of $f^{-n}(V')$ which intersect K and which are univalent preimages of V' under f^n , where λ indicates the chosen branch of f^{-n} .*

Let $V \Subset V'$, and for each n, λ let $V_{n,\lambda} = f^{-n}(V) \cap V'_{n,\lambda}$. Then for any $\varepsilon > 0$ there exists N_ε such that

$$\text{diam}_{\text{eucl}} V_{n,\lambda} < \varepsilon \quad \text{for any } n > N_\varepsilon \text{ and for any } \lambda \text{ such that } V'_{n,\lambda} \cap K \neq \emptyset.$$

The proof is the same as in [27] for the spherical metric, and the statement for the Euclidean metric follows from the fact that we are only considering preimages intersecting a given compact set K .

Lemma 4.3 (Characterization of landing). *Let $f \in \mathcal{B}_{\text{rays}}$ such that periodic rays land, and let $z_0, m, B_i, B, \mathcal{A}_B$ as above. Let $G_{\underline{s}} \subset B_0$ be a periodic ray of period mq with $\underline{s} \in \mathcal{A}_B$ and $q \geq 1$. Let $\zeta \in B_0 \setminus (\overline{D_r} \cup \delta_r)$ for which $\zeta_{nq}(\underline{s})$ is well defined for all $n \in \mathbb{N}$ as in Definition 3.4. Then $G_{\underline{s}}$ lands at $z_0 \in \mathbb{C}$ if and only if $\zeta_{nq}(\underline{s}) \rightarrow z_0$ as $n \rightarrow \infty$.*

Recall that a dynamic ray $G_{\underline{s}}$ lands at a point $z \in \mathbb{C}$ if $G_{\underline{s}}(t) \rightarrow z$ as $t \rightarrow 0$. We observe the following. Let z_0, m, r, \mathcal{A}_B be as in the beginning of this section. Let $G_{\underline{s}}$ be a dynamic ray of period mq for some $\underline{s} \in \mathcal{A}_B$, I be an arc in $G_{\underline{s}}$ connecting a point $z \in G_{\underline{s}}$

with its image $f^{mq}(z)$. For $n \in \mathbb{N}$ let I_n be the unique preimage of I under f^{mqn} which is contained in $G_{\underline{s}}$. Then $G_{\underline{s}}$ lands at z_0 if and only if $\text{dist}(I_n, z_0) \rightarrow 0$ as $n \rightarrow \infty$. Indeed consider a sequence $G_{\underline{s}}(t_n) \rightarrow z_0$. We have that $f^{k_n}(G_{\underline{s}}(t_n)) \in I$ for some $k_n \rightarrow \infty$ hence $G_{\underline{s}}(t_n) \in I_{k_n}$.

Moreover if I is chosen such that $f^j(I)$ is contained in \mathcal{F} for all j , then $I_n \subset \tau_{nq}(\underline{s})$ for all $n \geq 0$. The proof is the same as the proof of Lemma 4.1.

Proof of Lemma 4.3. If $G_{\underline{s}}$ lands at z_0 , by Lemma 4.1 for any point $\zeta = G_{\underline{s}}(t)$ with t large enough we have that $\zeta_{nq}(\underline{s})$ exists, and ζ_{nq} converges to z_0 by definition of landing.

To prove the other direction let $\zeta \in B_0 \setminus (\overline{D}_r \cup \delta_r)$ such that $\zeta_{nq}(\underline{s})$ is well defined (such a ζ exists because $\underline{s} \in \mathcal{A}_B$) and converges to $z_0 \in \mathbb{C}$. Let $\zeta' = G_{\underline{s}}(t)$ and let I be the arc in $G_{\underline{s}}$ connecting ζ' to $f^{mq}(\zeta')$. Up to taking t large enough we can assume that $f^j(I) \subset \mathcal{F}$ for all j . By Lemma 4.1 we have that $I \subset \tau_j(\underline{s})$ for all j large enough. By assumption, we also have that $\zeta_{nq}(\underline{s}) \in \tau_{nq}(\underline{s})$ for n large enough. Hence $(I \cup \zeta_{nq}) \subset \tau_{nq}(\underline{s})$ for all n large enough. For one such n let $V' \subset \tau_{nq}(\underline{s})$ be a simply connected set containing both $\zeta_{nq}(\underline{s})$ and I and let $V \Subset V'$ containing both $\zeta_{nq}(\underline{s})$ and I . Note that V intersects the Julia set because dynamic rays are subsets of the Julia set.

Let K be a compact connected neighborhood of z_0 . For $j \in \mathbb{N}$ let $V'_j(\underline{s})$ be the connected component of the preimage of V' under f^{mqj} which is contained in $\tau_{q(j+n)}(\underline{s})$; observe that the inverse branch $\psi_j : V' \rightarrow V'_j(\underline{s})$ is univalent because r was chosen so that all tails are well defined. Also, V'_j intersects K for j large enough because $\zeta_{qn}(\underline{s}) \rightarrow z_0$. By Lemma 4.2,

$$\text{diam}_{\text{eucl}} V_j(\underline{s}) \rightarrow 0 \text{ as } j \rightarrow \infty.$$

Since we assumed that $f^j(I) \subset \mathcal{F}$ for all j , $I_n \subset \tau_{nq}(\underline{s})$, hence since $f^{nq}|_{\tau_{nq}(\underline{s})}$ is a homeomorphism, $I_n \subset V_j \rightarrow z_0$ and $G_{\underline{s}}$ lands at z_0 . \square

5. Rationally invisible orbits and singular orbits

The goal of this section is to prove that if tails are well defined for a given repelling periodic orbit with respect to a set of basic regions containing it (case (c) in Proposition 3.6), then the orbit is not rationally invisible. The Main Theorem will be derived in Section 6.

In the following Theorem, as usual, indices are taken modulo m .

Theorem 5.1 (Main theorem for f^p). *Let $f \in \mathcal{B}_{\text{rays}}$ such that periodic rays land and assume that there are no singular values escaping along periodic rays. Let $\mathcal{X} = \{z_0, \dots, z_{m-1}\}$ be a repelling periodic orbit of period m and let p be any multiple of m . Suppose that $f(z_i) = z_{i+1}$. Let $\{B_i\}_{i=0 \dots m-1}$ be the basic regions for f^p containing the elements of \mathcal{X} , and $B = \cup B_i$. Then at least one of the following is true.*

- (1) There exists a singular value s for f such that $s \in \bigcup_{i=0}^{q-1} B_i$, say $s \in B_{i(s)}$, and such that for all $n \geq 0$ we have that $f^n(s) \in B_{n+i(s)}$.
- (2) There are infinitely many singular values s_j for f in at least one of the basic regions B_i , say $s \in B_{i(s)}$, and a sequence $n_j \rightarrow \infty$ as $j \rightarrow \infty$ such that for all $n \leq n_j$ we have that $f^n(s) \in B_{n+i(s)}$.
- (3) Each point in \mathcal{X} is the landing point of at least one and at most finitely many periodic dynamic rays, all of which have the same period.

By Lemma 8.2 in [8] (compare to [28], Lemma 18.12 for polynomials), if a repelling periodic point is the landing point of a periodic ray then it is the landing point of finitely many periodic rays, all of which have the same period. So it is enough to show that at least one point in \mathcal{X} is the landing point of at least one periodic dynamic ray. This implies that the same is true for all elements in \mathcal{X} . Indeed, f is a homeomorphism from a neighborhood of z_i to a neighborhood of z_{i+1} , so a dynamic ray $G_{\underline{s}}$ lands at z_i if and only if $f(G_{\underline{s}}) = G_{\sigma \underline{s}}$ lands at z_{i+1} .

Let $z_0 \in \mathcal{X}$. Recall the definition of fundamental tails for z_0 from Section 3. By Proposition 3.6, if neither case (1) nor case (2) occur, then there is r such that all fundamental tails of all level are well defined. Our aim will be to show that under this assumption z_0 is the landing point of at least one periodic ray.

Recall that $\ell_n = m(n - 1) + 1$ denotes the length of the address of a tail of level n .

Definition 5.2 (*Fundamental pieces*). Let $n \geq 1$. Let \underline{s} be an infinite address or an address of length at least ℓ_{n+1} and assume that the tail $\tau_{n+1}(\underline{s})$ is well defined for some $r > 0$. Then we define the *fundamental piece* of level n and address \underline{s} , which we denote by $P_n(\underline{s})$, as

$$P_n(\underline{s}) := \tau_{n+1}(\underline{s}) \setminus \tau_n(\underline{s}).$$

Fundamental pieces are not necessarily connected, nor exist for all levels and addresses. For example, if \underline{s} is a disjoint-type address (i.e., contains only fundamental domains which do not intersect the disk D) then there are no fundamental pieces of address \underline{s} for any level.

The idea of using fundamental pieces was originally suggested by L. Rempe-Gillen as a possible way to prove the main theorems in [8].

Recall the definition of \mathcal{P}_B from Section 3.

Lemma 5.3 (*Properties of fundamental pieces*). Let $f \in \mathcal{B}_{\text{rays}}$, such that periodic rays land. Let z_0, m, B, B_i as usual, and let $n \in \mathbb{N}$. Let \underline{s} be an infinite address or an address of length at least ℓ_n and assume that the fundamental tail $\tau_n(\underline{s})$ is well defined. Then

$$f^m(P_j(\underline{s})) = P_{j-1}(\sigma^m \underline{s}) \quad \text{for all } j \leq n - 1 \tag{5.1}$$

and

$$\tau_n(\underline{s}) \subset \tau_N(\underline{s}) \cup \bigcup_{j=N}^{n-1} P_j(\underline{s}) \quad \text{for all } N \leq n - 1. \tag{5.2}$$

$$f^{mn}(P_n(\underline{s})) = \tau_1(\sigma^{mn}(\underline{s})) \cap D \text{ for all } n \in \mathbb{N}. \tag{5.3}$$

Proof. The first two properties follow from the definition of fundamental pieces and tails (recall that $f^m : \tau_j(\underline{s}) \rightarrow \tau_{j-1}(\sigma^m \underline{s})$ is a homeomorphism). We now prove (5.3). Let $\zeta \in P_n(\underline{s})$. We have that $f^{m(n-1)}(\zeta) \in \tau_2(\sigma^{m(n-1)} \underline{s}) \setminus \tau_1(\sigma^{m(n-1)} \underline{s})$, and $\tau_1(\sigma^{m(n-1)} \underline{s})$ is the preimage of $\mathbb{C} \setminus \overline{D_r}$. Hence $f^m(f^{m(n-1)}(\zeta)) = f^{mn}(\zeta) \in D$. Since $f^{m(n-1)}(\zeta) \in \tau_2(\sigma^{m(n-1)} \underline{s})$, $f^{mn}(\zeta) \in \tau_1(\sigma^{mn} \underline{s})$ proving the claim. \square

Recall that S_B is the set of singular values which are contained in B . Recall also that for $s \in S_B$ the integer $i(s) \in \{0, \dots, m - 1\}$ is such that $s \in B_{i(s)}$, and $n(s)$ is maximal such that for all $j \leq n(s)$ we have that $f^j(s) \in B_{i(s)+j}$. In other words the orbit of s follows the orbit of \mathcal{X} for exactly $n(s)$ iterates with respect to the partition of the plane induced by the regions B_i .

Lemma 5.4 (*Good neighborhoods of rays*). *Let $f \in \mathcal{B}_{\text{rays}}$ such that periodic rays land and assume that there are no singular values escaping along periodic rays. Let $\mathcal{X} = \{z_0, \dots, z_{m-1}\}$ be a repelling periodic orbit and let p be any multiple of m . Suppose that $f(z_i) = z_{i+1}$. Let $\{B_i\}_{i=0 \dots m-1}$ be the basic regions for f^p containing the elements of \mathcal{X} , and let $B = \cup B_i$.*

Suppose that cases (1) and (2) in Proposition 3.6 do not hold.

Let G be a ray in ∂B_0 , which is hence fixed under f^p . Let $\{G_0 = G, G_j = f^j(G)\}_{j=0, \dots, p-1}$ be the orbit of G under f (here, indices are taken modulo p), and let $\psi_j : G_j \rightarrow G_{j-1}$ be the unique the inverse branch of f such that $\psi := \psi_0 \circ \dots \circ \psi_{p-1}$ fixes G . Since G_j are curves we can write them as $G_j(t) : \mathbb{R}_+ \rightarrow \mathbb{C}$, with $|G_j(t)| \rightarrow \infty$ as $t \rightarrow \infty$. Fix $\varepsilon, T_j > 0$.

Then there exist neighborhoods U_j of $G_j((\varepsilon, T_j))$ which contain $G_j((0, T_j))$, which do not contain singular values for f , and such that:

- a. ψ_j is defined and univalent on U_j ;
- b. $\psi_j(U_j) \subset U_{j-1}$;
- c. $\bigcup_j U_j \cap \bigcup_{s \in S_B} f^{n(s)+1}(s) = \bigcup_j U_j \cap (f(\mathcal{P}_B) \setminus \mathcal{P}_B) = \emptyset$.

Proof. Notice first that we can take neighborhoods of $G_j((\varepsilon, T_j))$ which do not intersect $S(f)$. If not there would be a sequence of singular values in $S(f)$ converging to a point $z \in G_j([\varepsilon, T_j])$, which would need to be a singular value because $S(f)$ is closed. This contradicts the assumption that G_j does not contain singular values. This shows that we can take a neighborhood of $G_j([\varepsilon, T_j])$ for every ε . By taking the union over them, we obtain a neighborhood of $G_j((0, T_j])$.

Each ψ_j is defined on compact subsets of G_j containing the landing point, hence in particular, it is defined on $G([0, T_j])$. Since G_j contains no singular values for f by

assumption, lands, and $\psi_j(G_j) \subset G_{j-1}$, for each j there is a simply connected neighborhood U_j of $G_j([\varepsilon, T_j])$ which contains $G_j((0, T_j))$, does not intersect the set $S(f)$ (recall that the latter is closed and that periodic rays contain no singular values), and such that ψ_j is well defined on U_j and $\psi_j(U_j) \subset U_{j-1}$, with $T_0 > T$.

Let $N = \max_{s \in S_B} n(s)$. Since cases (1) and (2) in Proposition 3.6 do not hold, $N < \infty$.

Since G contains no points in singular orbits, S_B does not intersect any preimage of G , and in particular it does not intersect the first N preimages of G , that is the infinitely many rays preperiodic to G of preperiod at most N . Since G lands and contains no points in singular orbits, they all land and only finitely many of them intersect any given compact set.

Let $D \ni S(f)$ be a closed disk and let K be the compact set given by the first N preimages of rays in the boundary of B intersected with D (compare with the proof of Proposition 3.6).

Since S_B does not intersect K and both are compact sets, we can find a neighborhood W of K which does not intersect S_B , and restrict the sets U_i such that their preimages up to level N do not intersect W . \square

The condition that periodic rays do not contain points in singular orbits can be relaxed by assuming that they do not contain forwards iterates of singular values in S_B which moreover follow the correct itinerary between the basic regions in B .

Lemma 5.5 (*Shrinking of fundamental pieces*). *Let $f \in \mathcal{B}_{\text{rays}}$ such that periodic rays land and assume that there are no singular values escaping along periodic rays. Let z_0, m, B, B_i as in Theorem 5.1. Suppose that case (1) and (2) in Proposition 3.6 do not hold.*

Let K be a compact set and consider all fundamental pieces $P_n(\underline{s})$ for $n \in \mathbb{N}$. Then for any $\varepsilon > 0$ there exists N_ε such that

$$\text{diam}_{\text{eucl}} P_n(\underline{s}) < \varepsilon \text{ for all } n \geq N_\varepsilon \text{ and all } \underline{s} \text{ such that } P_n(\underline{s}) \cap K \neq \emptyset.$$

Compare with the proof of Lemma 6.3 in [8].

Proof. Since case (1) and (2) in Proposition 3.6 do not hold, \mathcal{P}_B is bounded, and so is its image $f(\mathcal{P}_B)$ (which is no longer necessarily contained in B). Let $D_r \ni (\mathcal{P}_B \cup \bigcup_{k \leq m} f^k(\mathcal{P}_B))$ be a disk of radius r centered at 0. Consider the set of tails \mathcal{T}_1 of level 1 for r, z_0 . Notice that \mathcal{P}_B is forward invariant in the sense of (3.3). It follows that $\tau_n(\underline{s}) \cap \mathcal{P}_B = \emptyset$ for all $n \in \mathbb{N}$.

For each of the finitely many $\tau \in \mathcal{T}_1$ which intersect D_r let γ_τ be a crosscut of τ such that $\tau \setminus \gamma_\tau$ is made of two connected components, one of which is bounded and contains all of the connected components of $\tau \cap D_r$. Call this component η_τ . Let

$$\mathcal{V} = \bigcup_{\tau \in \mathcal{T}_1} \eta_\tau.$$

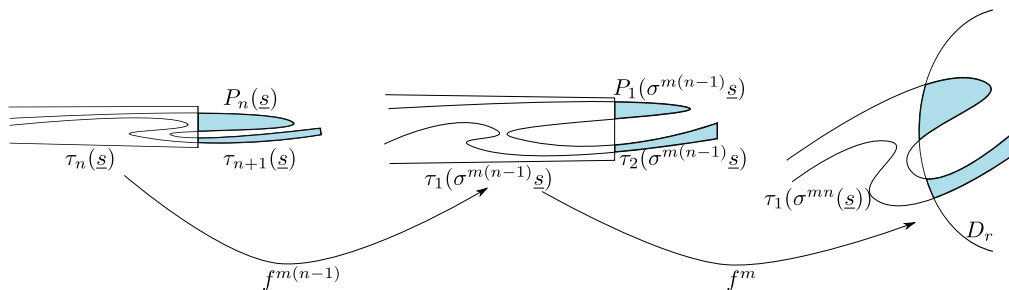


Fig. 3. Mapping properties of fundamental pieces (shown in blue).

Since finitely many $\tau \in \mathcal{T}_1$ intersect D_r (see Lemma 2.7) we have that \mathcal{V} has finitely many connected components. Notice that if two adjacent tails $\tau, \tilde{\tau}$ both intersect D_r , their bounded components $\eta_\tau, \eta_{\tilde{\tau}}$ belong to the same connected component of \mathcal{V} .

We first claim that any $P_n(\underline{s})$ is contained in a connected components of $f_\lambda^{-n}(V_i)$ for some i and some branch λ . This is implied by showing that $f^{mn}(P_n(\underline{s})) \subset \eta_\tau$ for some $\tau \in \mathcal{T}_1$. Let $\zeta \in P_n(\underline{s}) = \tau_{n+1}(\underline{s}) \setminus \tau_n(\underline{s})$. By (5.3) $f^{mn}(\zeta) \in \tau \cap D_r$ for some $\tau \in \mathcal{T}_1$. The fact that τ does not depend on the choice of ζ (we have to check this because $P_n(\underline{s})$ is not necessarily a connected set) follows from the fact that for any U connected component of the preimage of η_τ under f^{-mn} which is contained in a tail of level $n + 1$ (which is the case for fundamental pieces) we have that $f^m : U \rightarrow \eta_\tau$ is a homeomorphism. See Fig. 3.

So it is enough to show that, for V which is any of the finitely many connected components of \mathcal{V} , the diameters of inverse images of V tend to zero uniformly in the family of inverse branches used to define fundamental pieces. Let V be such a component. To fix notation for the inverse branches let us denote by \mathcal{L} the set of inverse branches φ_λ^n of f^{mn} which map a component $\eta_\tau \subset V$ inside another tail $\tau_{n-1}(\underline{s})$, and which are a priori defined only on V .

We claim that there is a simply connected neighborhood V' of V such that for any $\varphi_\lambda^n \in \mathcal{L}$ we have that φ_λ^n can be extended (univalently) to V' .

The claim is obvious for all $V \Subset B_0$, since by choice of r we can find a simply connected neighborhood V' which is contained in $B_0 \setminus \mathcal{P}_B$ and hence all inverse branches φ_λ^n used to define fundamental pieces can be extended.

So let us consider V such that $\partial V \cap \partial B_0 \neq \emptyset$. Let G on ∂B_0 which intersects ∂V , and T such that $\partial V \cap G \Subset G(0, T)$. In the following we will assume for simplicity that G is unique, but if not, there are finitely many rays and the reasoning has to be done for each of them.

Let $\{G_0 = G, G_j = f^j(G)\}_{j=0, \dots, p}$ be the orbit of G under f and let $\psi_j : U_j \rightarrow U_{j-1}$ as in Lemma 5.4.

Let $V' \subset (U_0 \cup B_0)$ be a simply connected neighborhood of V which does not intersect \mathcal{P}_B , and let $\varphi_\lambda^n \in \mathcal{L}$. Choose V' so that in addition $V' \cap B$ and $V' \cap (\mathbb{C} \setminus B)$ are simply connected.

The inverse branch φ_λ^n decomposes (uniquely) as

$$\varphi_\lambda^n = h_{nm} \circ \dots \circ h_1$$

where each h_i for $i = 1 \dots nm$ is a branch of f^{-1} that we want to show to be defined on $h_{i-1} \circ \dots \circ h_1(V')$. Notice that φ_λ^n extends to $V' \cap B$ because the latter does not intersect \mathcal{P}_B .

We claim that the inverse branch h_1 is well defined and univalent on all of V' . Let us denote by X the connected component of $f^{-1}(V)$ which contains $h_1(V)$. Either $X \subseteq B$ or $X \cap \partial B \neq \emptyset$.

If $X \subseteq B$ then the branch h_1 is well defined and univalent because by Lemma 5.4 the neighborhoods U_j do not intersect $f(S_B) \subset f(\mathcal{P}_B)$. Since they also do not intersect $f(\mathcal{P}_B)$, the set X does not intersect \mathcal{P}_B . Since the latter is forward invariant in the sense of (3.3), the branches h_i are well defined also for all $i = 2 \dots mn$, proving the claim.

Let us consider the case $X \cap \partial B \neq \emptyset$. Since $h_1(V) \subset B$, by Lemma 2.8 we have that

$$\begin{aligned} f(X \cap B) &= V' \cap B \\ f(X \cap (\mathbb{C} \setminus B)) &= V' \cap (\mathbb{C} \setminus B) \\ f(X \cap \partial B) &= V' \cap \partial B \end{aligned}$$

It follows that $X \cap \partial B$ is contained in G_{p-1} which is the only preimage of G_0 in ∂B .

By univalence of f on G_{p-1} , h_1 extends continuously to $G_0 \cap V'$ and coincides with ψ_0 on this set. Since h_1 extends holomorphically to a neighborhood of $V \cap G_0$ (since U_0 contains no singular values by choice), by the identity principle h_1 equals ψ_0 and hence h_1 extends as a univalent map on all of V' .

By property b. in Lemma 5.4, $h_1(V' \setminus B) \subset U_{p-1}$. This last property allows us to repeat the reasoning for h_2 and show that it is defined on $h_1(V')$. Proceeding by induction this gives the claim.

By Lemma 4.2, $\text{diam}_{\text{eucl}}(\varphi_\lambda^n(V))$ uniformly in λ as $n \rightarrow \infty$, provided $\varphi_\lambda^n(V) \cap K \neq \emptyset$ for some compact set K . For all addresses \underline{s} such that $P_n(\underline{s}) \cap K \neq \emptyset$, the claim of the Lemma follows because $P_n(\underline{s}) \subset \varphi_\lambda^n(V)$ for some λ, n, i . \square

The last result that we need in order to prove the Main Theorem is Iversen’s Theorem [24]. We state it as it is presented in [9]. It is a consequence of Gross Star Theorem [22].

Theorem 5.6 (Iversen’s Theorem). *Let f be holomorphic. Let ψ be a holomorphic branch of the inverse of f which is defined in a neighborhood of some point z_0 and let $\gamma : [0, 1] \rightarrow \mathbb{C}$ be a curve with $\gamma(0) = z_0$. Then for every $\varepsilon > 0$ there exists a curve $\tilde{\gamma} : [0, 1] \rightarrow \mathbb{C}$ satisfying $\gamma(0) = z_0$ and $|\gamma(t) - \tilde{\gamma}(t)| < \varepsilon$ such that ψ has an analytic continuation along $\tilde{\gamma}$.*

5.1. Proof of Theorem 5.1

Let z_0, z_i, m, p, B, B_i be as in the statement. Recall that indices of z_i and B_i are taken modulo m . If z_0 is not an interior fixed point for f^p , there is nothing to show because it is the landing point of a periodic ray of period at most p . Otherwise, in view of Proposition 3.6 we need to show that, if there exists $r > 0$ such that for any $n \geq 1$ all fundamental tails for z_0 are well defined, then z_0 is the landing point of a periodic ray. Without loss of generality up to taking a larger r we can assume that $\bigcup_i z_i \subset D_r$ and that tails are also defined for a slightly smaller r . Let \mathcal{T}_n denote the collection of tails of level n for these choices.

Let ψ be the inverse branch of f^{-m} fixing z_0 . Let $U_0 \subset B_0$ be a simply connected neighborhood of z_0 such that ψ is well defined on U_0 , $\psi(U_0) \Subset U_0$, and there exists $\eta > 1$ such that $|(f^m)'(z)| \geq \eta$ for all $z \in U_0$. Note that $f^i(\psi(U_0)) \subset B_i$ for $i \leq m$, and that more generally for $\ell \in \mathbb{N}$ we have $f^i(\psi^\ell(U_0)) \subset B_i$ for $i \leq m\ell$.

Let

$$U_n := \psi^n(U_0), \quad \varepsilon = \text{dist}(\partial U_0, \partial U_1).$$

By choice of U_0 , $f^{mn} : U_n \rightarrow U_0$ is a homeomorphism.

Claim 1. $\mathcal{T}_n \cap U_0 \neq \emptyset$ for all n large enough.

Proof: Let F be a fundamental domain for f which intersects B_0 and choose $\zeta \in F \cap B_0$ not an exceptional value. Then by Montel’s theorem there exists n large enough so that $f^{nm}(U_0) \ni \zeta$. Since f is open, there exists ε' such that the Euclidean disk $\mathbb{D}_{\varepsilon'}(\zeta) \subset f^{nm}(U_0) \cap B_0 \cap F$ and contains no exceptional values.

Let $\gamma : [0, 1] \rightarrow B_0$ be a curve with $\gamma(0) = z_0, \gamma(1) = \zeta$. Let ψ^n be the inverse branch of f^{nm} fixing z_0 . By Iversen’s theorem there exists a curve $\tilde{\gamma} : [0, 1] \rightarrow B_0$ such that $\tilde{\gamma}(0) = z_0, \tilde{\gamma}(1) \in \mathbb{D}_{\varepsilon'}(\zeta)$ and such that ψ^n has an analytic continuation along $\tilde{\gamma}$. Hence $\tilde{\gamma}(1) \in f^{nm}(U_0)$.

Since ψ^n has an analytic continuation along $\tilde{\gamma}$ we can ensure that the same is true for ψ^j for $j \leq n$. Since $\tilde{\gamma} \cap \partial B = \emptyset$ we have that $\psi^j(\tilde{\gamma}) \cap \partial B = \emptyset$ for $j \leq n$ (see Lemma 2.8). Recall that we have $f^i(\psi^\ell(U_0)) \subset B_i$ for all $\ell \in \mathbb{N}$ and all $i \leq m$. Hence for any $n \in \mathbb{N}$ we have that $f^j \psi^n(\tilde{\gamma}) \subset B_j$ for $j \leq m$. This implies that the point $w = \psi^n(\tilde{\gamma}(1))$ belongs to some tail $\tau_{n+1}(\underline{s})$ for some \underline{s} . Since $\tilde{\gamma}(1) \in f^{nm}(U_0)$, and ψ^n is a homeomorphism, we also have that $w \in U_0$, proving the fact that $\mathcal{T}_n \cap U_0 \neq \emptyset$ for n large enough.

Observe that if $\mathcal{T}_N \cap U_0 \neq \emptyset$ for some N , then $\mathcal{T}_n \cap U_0 \neq \emptyset$ for all $n \geq N$, because the preimage under ψ of a point ζ which belongs to a tail in \mathcal{T}_n intersecting U_k is a point ψz in a tail in \mathcal{T}_{n+1} intersecting U_{k+1} (see also Lemma 3.5). \triangle

Let N be such that for all $n \geq N$ we have that $\mathcal{T}_n \cap U_0 \neq \emptyset$ and that $\text{diam } P_n(\underline{s}) < \varepsilon$ for all $P_n(\underline{s})$ intersecting $\overline{U_0}$. Such N exists by Lemma 5.5.

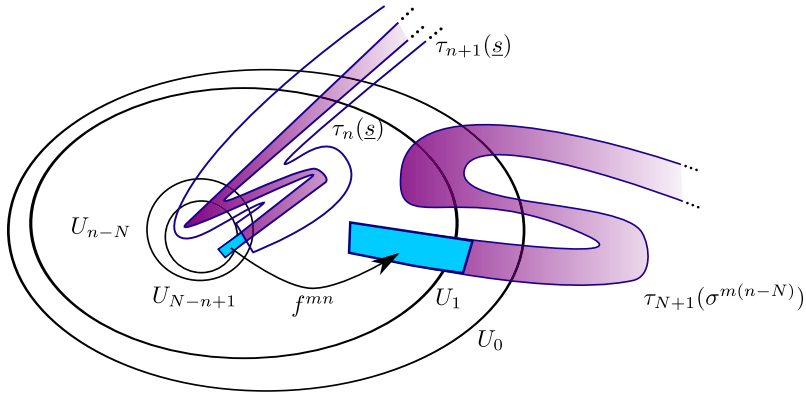


Fig. 4. Illustration of the proof of Claim 2 in Theorem 5.1. The fundamental piece $P_n(\underline{s})$ and its image under f^{mn} are highlighted in blue. (For interpretation of the colors in the figure(s), the reader is referred to the web version of this article.)

For $n \geq N$ let \mathcal{S}_n be the set of finite addresses of length ℓ_n (see Definition 3.3) for which the tail $\tau_n(\underline{s})$ intersects U_{n-N} . Observe that \mathcal{S}_n is finite for every n by Lemma 2.7.

Observe that $\text{dist}(\partial U_n, z_0) \rightarrow 0$ as $n \rightarrow \infty$ because in U_0 the map ψ is contracting by a factor $\eta^{-1} < 1$. So by Lemma 4.3 it is enough to find a periodic address \underline{s}_* of period mq and a point $\zeta \in U_0$ such that $\zeta_i(\underline{s}_*)$ is well defined for all i and such that $\zeta_{qn-N}(\underline{s}_*) \in U_{qn-N}$ in order to ensure that $G_{\underline{s}_*}$ lands at $\lim_{n \rightarrow \infty} \zeta_{qn-N}(\underline{s}_*) = z_0$.

We now claim that, for some n_0 large enough, ψ induces a well defined map Γ from the finite set \mathcal{S}_{n_0} into itself, implying that Γ has a periodic point of some period q . We do this in several steps.

Let $n \geq N$, $\underline{s} \in \mathcal{S}_n$ and let $\tau_n(\underline{s})$ be a tail in \mathcal{T}_n which intersects U_{n-N} . For every point $\zeta \in \tau_n(\underline{s}) \cap U_{n-N}$ the point $\psi\zeta \in U_{n-N+1}$ is well defined and belongs to some tail of level $n + 1$ and address $\tilde{\underline{s}}(\zeta)$ depending on ζ . Indeed, $\tau_n(\underline{s}) \cap U_{n-N}$ may have several connected components, and it is not clear a priori that ψ maps each of these connected components to the same tail of level $n + 1$. What is clear however is that for each such $\tilde{\underline{s}} = \tilde{\underline{s}}(\zeta)$ the tail $\tau_{n+1}(\tilde{\underline{s}})$ intersects U_{n-N+1} and that $\sigma^m \tilde{\underline{s}} = \underline{s}$ (see Lemma 3.5). Recall that for an address $\tilde{\underline{s}}$ we denote by $\pi_n \tilde{\underline{s}}$ its first ℓ_n entries.

Claim 2. $\pi_n \tilde{\underline{s}}$ belongs to \mathcal{S}_n regardless of the choice of ζ .

Proof: For an illustration of the proof of this claim see Fig. 4. Let $\psi\zeta \in U_{n-N+1} \cap \tau_{n+1}(\tilde{\underline{s}})$. Recall that $\tau_{n+1}(\tilde{\underline{s}}) \subset \tau_n(\underline{s}) \cup P_n(\underline{s})$ and that it is a connected set. If $\psi\zeta \in \tau_n(\underline{s})$ it follows directly that the first ℓ_n entries of $\tilde{\underline{s}}$ are in \mathcal{S}_n . Otherwise $\psi\zeta \in P_n(\underline{s}) \cap U_{n+1-N}$ hence $f^{m(n-N)}\psi\zeta \in P_N(\sigma^{m(n-N)}\tilde{\underline{s}}) \cap U_1$ (see Lemma 5.3). By choice of N , $\text{diam } P_N(\sigma^{m(n-N)}\tilde{\underline{s}}) < \varepsilon$, so $P_N(\sigma^{m(n-N)}\tilde{\underline{s}}) \Subset U_0$ and hence its $m(n - N)$ -th pullback $P_n(\tilde{\underline{s}}) \Subset U_{n-N}$. Since $\tau_{n+1}(\tilde{\underline{s}})$ is connected, $\tau_n(\underline{s})$ intersects U_{n-N} and hence the first ℓ_n entries of $\tilde{\underline{s}}$ are in \mathcal{S}_n . \triangle

Claim 3. *There exists $n_0 > 0$ such that for $n \geq n_0$, the map $\sigma^m : \mathcal{S}_{n+1} \rightarrow \mathcal{S}_n$ is injective, hence has a well defined inverse $\psi_n^* : \mathcal{S}_n \rightarrow \mathcal{S}_{n+1}$ on its image $\sigma^m(\mathcal{S}_{n+1})$.*

Proof: Observe that z_0 does not belong to any tail of level N , and hence there exists $M > 0$ such that $\mathcal{T}_N \cap U_M = \emptyset$. Indeed, otherwise we would have that $f^{mN}(z_0) = z_0 \in f^{mN}(\mathcal{T}_N) \subset \mathbb{C} \setminus D_r$, contradicting the choice of r . The main point now is to show that there exists n_0 such that if $n \geq n_0$ and $\tilde{\underline{s}} \in \mathcal{S}_{n+1}$ then $P_n(\tilde{\underline{s}}) \subset U_1$. Notice that a priori it is not even clear whether $P_n(\tilde{\underline{s}}) \cap U_1 \neq \emptyset$, because the intersection $\tau_{n+1}(\tilde{\underline{s}}) \cap U_{n+1-N}$ may be contained in $\tau_n(\tilde{\underline{s}})$. Once this is proven, let $\underline{s} \in \mathcal{S}_n$ and suppose by contradiction that there exist $\tilde{\underline{s}}_1, \tilde{\underline{s}}_2$ such that $\psi(\tau_n(\underline{s}) \cap U_0)$ intersects both $\tau_{n+1}(\tilde{\underline{s}}_1)$ and $\tau_{n+1}(\tilde{\underline{s}}_2)$. Then we would have that $P_n(\tilde{\underline{s}}_1)$ and $P_n(\tilde{\underline{s}}_2)$ are contained in U_1 and are mapped homeomorphically to $P_{n-1}(\underline{s})$ by f^m (recall Lemma 5.3), contradicting the fact that $f^m : U_1 \rightarrow U_0$ is a homeomorphism and proving Claim 3. So we now proceed to prove that $P_n(\tilde{\underline{s}}) \subset U_1$ if $\tilde{\underline{s}} \in \mathcal{S}_{n+1}$ and $n \geq n_0$.

Let $n \geq M + N$, with M as above such that $\mathcal{T}_N \cap U_M = \emptyset$, and consider $\underline{s} \in \mathcal{S}_{n+1}$, that is, $\tau_{n+1}(\underline{s}) \cap U_{n+1-N} \neq \emptyset$. We claim that there exists $\tilde{n} = \tilde{n}(\underline{s}) \in \{n - M, \dots, n\}$ maximal such that $P_{\tilde{n}}(\underline{s}) \cap U_{n+1-N} \neq \emptyset$. This is to ensure that $\tau_{n+1}(\underline{s}) \cap U_{n+1-N}$ intersects a fundamental piece of address \underline{s} and of sufficiently high level, namely whose level tends to infinity as fast as n .

Since $\mathcal{T}_N \cap U_{n+1-N} = \emptyset$ (because $n \geq M + N$) and since

$$\emptyset \neq \tau_{n+1}(\underline{s}) \cap U_{n+1-N} \subset (\tau_N(\underline{s}) \cup \bigcup_{j=N}^n P_j(\underline{s})) \cap U_{n+1-N}$$

(see Lemma 5.3), there is some $\tilde{n} \in \{N, \dots, n\}$ maximal such that $P_{\tilde{n}}(\underline{s}) \cap U_{n+1-N} \neq \emptyset$. If $n = M + N$ this implies that $\tilde{n} \geq N = n - M$ as desired. Now let us proceed by induction on n . Suppose that for all $\underline{s} \in \mathcal{S}_n$ there exists $\tilde{n} = \tilde{n}(\underline{s}) \in \{n - 1 - M, \dots, n - 1\}$ maximal such that $P_{\tilde{n}}(\underline{s}) \cap U_{n-N} \neq \emptyset$ and let us show that for all $\underline{s} \in \mathcal{S}_{n+1}$ there exists $\tilde{n} = \tilde{n}(\underline{s}) \in \{n - M - 1, \dots, n - 1\}$ maximal such that $P_{\tilde{n}+1}(\underline{s}) \cap U_{n-N+1} \neq \emptyset$. Then this would imply the claim for $\tilde{n} + 1$. If $\underline{s} \in \mathcal{S}_{n+1}$ we have that $\sigma^m \underline{s} \in \mathcal{S}_n$ and hence by the induction hypothesis we have that $P_{\tilde{n}}(\sigma \underline{s}) \cap U_{n-N} \neq \emptyset$ for some $\tilde{n} = \tilde{n}(\sigma^m \underline{s}) \in \{n - 1 - M, \dots, n - 1\}$. Since $f^m : P_{\tilde{n}+1}(\underline{s}) \rightarrow P_{\tilde{n}}(\sigma^m \underline{s})$ is univalent we have that $P_{\tilde{n}+1}(\underline{s}) \cap U_{n+1-N} \neq \emptyset$ and that $\tilde{n}(\sigma \underline{s}) + 1 \geq n - N$ as required. Now let n_1 such that $\text{diam } P_n(\underline{s}) < \frac{\text{dist}(\partial U_2, \partial U_1)}{M+1}$ for $n \geq n_1$ and $\underline{s} \in \mathcal{S}_n$ (this is possible by Lemma 5.5).

Let $n_0 = \max\{n_1 + M, N + 2\}$. Let $n > n_0$ and let $\underline{s} \in \mathcal{S}_{n+1}$. Then by the previous paragraph there is $\tilde{n} \in \{n - M, \dots, n\}$ such that $P_{\tilde{n}}(\underline{s}) \cap U_{n-N+1} \neq \emptyset$. By definition $P_{\tilde{n}}(\underline{s}) \subset \tau_{\tilde{n}+1}(\underline{s})$, and $\tau_{n+1}(\underline{s}) \subset \tau_{\tilde{n}+1}(\underline{s}) \cup \bigcup_{j=\tilde{n}+1}^n P_j(\underline{s})$. Since $\tau_{n+1}(\underline{s})$ is connected and $\bigcup_{j=\tilde{n}+1}^n P_j(\underline{s})$ consists of at most M pieces of diameter at most $\frac{\text{dist}(\partial U_2, \partial U_1)}{M+1}$ and $\tau_{\tilde{n}+1}(\underline{s}) \cap U_2 \neq \emptyset$ we deduce that $\tau_{n+1}(\underline{s}) \setminus \tau_{\tilde{n}+1}(\underline{s}) \subset U_1$. \triangle

For $n \geq n_0$ this induces a map $\psi_n^* : \mathcal{S}_n \rightarrow \mathcal{S}_{n+1}$, where for $\underline{s} \in \mathcal{S}_n$ we define $\psi_n^*(\underline{s})$ as the unique element in \mathcal{S}_{n+1} such that $\sigma^m \psi_n^*(\underline{s}) = \underline{s}$. Recall that \mathcal{F}_B is the collection of

fundamental domains intersecting B and observe that

$$\psi_n^*(\underline{s}) =: \alpha(\underline{s}^i)\underline{s}^i \quad \text{for some } \alpha(\underline{s}^i) \in \{\mathcal{F}_B\}^m,$$

since we add m symbols when we go backwards once.

Let

$$\Gamma := \pi_{n_0} \circ \psi_{n_0}^* : \mathcal{S}_{n_0} \rightarrow \mathcal{S}_{n_0}.$$

Since \mathcal{S}_{n_0} has finitely many elements, there exists $q \in \mathbb{N}$ and $\underline{s}^0 \in \mathcal{S}_{n_0}$ such that $\Gamma^q(\underline{s}^0) = \underline{s}^0$. Let $\underline{s}^i = \Gamma^i(\underline{s})$ (hence $\underline{s}^q = \underline{s}^0$). By definition of Γ and of $\alpha(\underline{s}^i)$ we have that $\underline{s}^{i+1} = \Gamma(\underline{s}^i) = \pi_{n_0}(\alpha(\underline{s}^i)\underline{s}^i)$. Let

$$\underline{s}^* := \overline{\alpha(\underline{s}^q) \dots \alpha(\underline{s}^1)}.$$

Notice that \underline{s}^* is a periodic address of period qm .

Claim 4. For any $n \geq n_0$ and for any $\underline{s} \in \mathcal{S}_n$,

$$\psi_n^*\underline{s} = \alpha(\pi_{n_0}\underline{s})\underline{s}.$$

Proof: For $n = n_0$ this is true by definition, so suppose the claim is true for all $\underline{s} \in \mathcal{S}_n$ and let us show the claim for all $\underline{s} \in \mathcal{S}_{n+1}$. By definition of ψ_{n+1}^* , for $\underline{s} \in \mathcal{S}_{n+1}$ we have $\psi_{n+1}^*\underline{s} = F_0 \dots F_{m-1}\underline{s} \in \mathcal{S}_{n+2}$ for some $F_0, \dots, F_{m-1} \in \mathcal{F}_B$. By Claim 2 we have that $\pi_{n+1}(F_0 \dots F_{m-1}\underline{s}) \in \mathcal{S}_{n+1}$, hence $\pi_{n+1}(F_0 \dots F_{m-1}\underline{s}) = \psi_n^*\tilde{\underline{s}}$ for some $\tilde{\underline{s}} \in \mathcal{S}_n$. Hence we have that $\tilde{\underline{s}} = \pi_n(\underline{s})$. By the induction hypothesis, $F_0 \dots F_{m-1} = \alpha(\tilde{\underline{s}}) = \alpha(\pi_{n_0}\tilde{\underline{s}}) = \alpha(\pi_{n_0}\underline{s})$. \triangle

Let $\zeta \in \tau_{n_0}(\underline{s}_*) \cap U_{n_0-N}$. By definition of ψ_n^* and by Claim 4 we have that $\psi^{qn}(\zeta) \in \tau_{qn+n_0}(\tilde{\underline{s}})$ where

$$\tilde{\underline{s}} = \psi_{nq+n_0}^* \dots \psi_{n_0}^* \underline{s}_* = \underbrace{\alpha(\underline{s}^q) \dots \alpha(\underline{s}^1) \dots \alpha(\underline{s}^q) \dots \alpha(\underline{s}^1)}_{\text{repeated } n \text{ times}} \underline{s}_* = \underline{s}_*$$

by Claim 4, so that $\psi^{qn}(\zeta) \in \tau_{qn+n_0}(\underline{s}^*)$ and hence $\psi^{qn}(\zeta) = \zeta_{qn}(\underline{s}^*)$. Then $G_{\underline{s}_*}$ lands at z_0 by Lemma 4.3 because $\zeta_{qn}(\underline{s}^*) = \psi^{qn}\zeta \in U_{qn-n_0} \rightarrow z_0$.

We note the following Corollary of Theorem 5.1.

Corollary 5.7. Let $f \in \mathcal{B}_{\text{rays}}$ such that periodic rays land and assume that there are no singular values escaping along periodic rays. Let z_0 be a rationally invisible repelling periodic point for f . Let $\{z_0, \dots, z_{m-1}\}$ be the orbit of z_0 and let X be the union of the dynamical fibers of z_0, \dots, z_{m-1} as defined in [31]. Then X contains either a singular orbit, or infinitely many singular values whose orbits belong to the fiber for more and more iterations.

6. Bound on the number of rationally invisible orbits and generalized Fatou-Shishikura inequality

This last section is devoted to the proof of the Main Theorem.

Let us recall the Main Theorem from [6]. As usual indices are taken modulo m .

Theorem 6.1 (*Singular orbits trapped in basic regions*). *Let f be an entire transcendental map in class $\mathcal{B}_{\text{rays}}$ whose periodic rays land. Let \mathcal{X} be a cycle of Siegel disks, attracting basins, parabolic basins or Cremer points of period m and let p be any multiple of m . Let $\{B_i\}_{i=0\dots m-1}$ be the basic regions for f^p containing the elements of \mathcal{X} . Then, up to relabeling the indices, at least one of the following is true.*

- (1) *There exists a singular value s for f such that $s \in \bigcup_{i=0}^{m-1} B_i$, say $s \in B_0$, and such that $f^n(s) \in B_n$ for all $n \in \mathbb{N}$. The orbit of s accumulates either on the non-repelling cycle or on the boundary of the cycle of Siegel disks.*
- (2) *There are infinitely many singular values s_j for f in at least one of the basic regions B_i , say B_0 , and a sequence $n_j \xrightarrow{j \rightarrow \infty} \infty$ such that $f^n(s_j) \in B_n$ for all $n \leq n_j$. The orbits $\{f^n(s_j)\}_{j \in \mathbb{N}, n \leq n_j}$ accumulate either on the non-repelling interior cycle, or on the boundary of the associated Siegel disk.*

The first case always occurs if \mathcal{X} is attracting or parabolic or if f has only finitely many singular values.

Moreover, in case (1), the orbit of s does not accumulate on any other interior periodic cycle or on any point on the boundary of a Siegel disk $\Delta \notin \mathcal{X}$ (provided the point is not on a periodic ray or a periodic point).

We are now ready to prove the Main Theorem. We remark that Theorems 5.1 and Theorem 6.1 are stronger than the Main Theorem in that they do not require finiteness of the number of singular orbits which do not belong to attracting or parabolic cycles.

Proof of Main Theorem. Suppose by contradiction that there are at least $q + 1$ cycles of Siegel disks, Cremer points, or rationally invisible repelling periodic orbits (possibly infinitely many). Let p be the product of their periods. Each element in each of the $q + 1$ cycles is fixed by f^p hence belongs to a different basic region for f^p by Theorem 2.6. In particular, there are $q + 1$ disjoint collections of basic regions whose interior periodic orbit is either a cycle of Cremer point, a cycle of centers of Siegel disks, or a rationally invisible repelling periodic orbit. By Theorem 5.1, and since finitely many singular orbits which do not belong to attracting or parabolic basins, we have that each collection of basic regions which contains a rationally invisible repelling periodic orbit contains a singular orbit. By Theorem 6.1, the same is true for collections of basic regions which contain either Cremer points or centers of Siegel Disks. This gives $q + 1$ singular orbits which do not belong to attracting or parabolic basins, a contradiction. \square

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