

# CHARACTERIZATION OF THE TREE CYCLES WITH MINIMUM POSITIVE ENTROPY FOR ANY PERIOD

DAVID JUHER, FRANCESC MAÑOSAS, AND DAVID ROJAS

ABSTRACT. Consider, for any integer  $n \geq 3$ , the set  $\text{Pos}_n$  of all  $n$ -periodic tree patterns with positive topological entropy and the set  $\text{Irr}_n \subset \text{Pos}_n$  of all  $n$ -periodic irreducible tree patterns. The aim of this paper is to determine the elements of minimum entropy in the families  $\text{Pos}_n$ ,  $\text{Irr}_n$  and  $\text{Pos}_n \setminus \text{Irr}_n$ . Let  $\lambda_n$  be the unique real root of the polynomial  $x^n - 2x - 1$  in  $(1, +\infty)$ . We explicitly construct an irreducible  $n$ -periodic tree pattern  $\mathcal{Q}_n$  whose entropy is  $\log(\lambda_n)$ . We prove that this entropy is minimum in  $\text{Pos}_n$ . Since the pattern  $\mathcal{Q}_n$  is irreducible,  $\mathcal{Q}_n$  also minimizes the entropy in the family  $\text{Irr}_n$ . We also prove that the minimum positive entropy in the set  $\text{Pos}_n \setminus \text{Irr}_n$  (which is nonempty only for composite integers  $n \geq 6$ ) is  $\log(\lambda_{n/p})/p$ , where  $p$  is the least prime factor of  $n$ .

## 1. INTRODUCTION

The field of Combinatorial Dynamics has its roots in the striking Sharkovskii's Theorem [31], in the sense that the theory grew up as a succession of progressive refinements and generalizations of the ideas contained in the original proof of that result. The core of the theory is the notion of *combinatorial type* or *pattern*.

Consider a class  $\mathcal{X}$  of topological spaces (closed intervals of the real line, trees, graphs and compact surfaces are classic examples) and the family  $\mathcal{F}_{\mathcal{X}}$  of all maps  $\{f: X \rightarrow X : X \in \mathcal{X}\}$  satisfying a given property (continuous maps, homeomorphisms, etc). Any of such maps gives rise, by iteration, to a discrete dynamical system. Assume now that we have a map  $f: X \rightarrow X$  in  $\mathcal{F}_{\mathcal{X}}$  which is known to have a periodic orbit  $P$ . The *pattern of  $P$*  is the equivalence class  $\mathcal{P}$  of all maps  $g: Y \rightarrow Y$  in  $\mathcal{F}_{\mathcal{X}}$  having an invariant set  $Q \subset Y$  that, at a combinatorial level, behaves like  $P$ . In this case, we say that every map  $g$  in the class *exhibits* the pattern  $\mathcal{P}$ . Of course we have to precise in which sense a periodic orbit *behaves as  $P$* . So, we have to decide which feature of  $P$  has to be preserved inside the equivalence class  $\mathcal{P}$ . The period of  $P$ , just a natural number, is a first possibility (Sharkovskii's Theorem), but a richer option arises from imposing that

- (a) the relative positions of the points of  $Q$  inside  $Y$  are the same as the relative positions of  $P$  inside  $X$
- (b) the way these positions are permuted under the action of  $g$  coincides with the way  $f$  acts on the points of  $P$ .

An example is given by the family  $\mathcal{F}_{\mathcal{M}}$  of surface homeomorphisms. The pattern (or *braid type*) of a cycle  $P$  of a map  $f: M \rightarrow M$  from  $\mathcal{F}_{\mathcal{M}}$ , where  $M$  is a surface, is defined by the isotopy class, up to conjugacy, of  $f|_{M \setminus P}$  [19, 27].

When  $\mathcal{F}_{\mathcal{X}}$  is the family of continuous maps of closed intervals, the points of an orbit  $P$  of a map in  $\mathcal{F}_{\mathcal{X}}$  are totally ordered and the pattern of  $P$  can be simply

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