On the study of limit cycles in piecewise smooth generalized Abel equations via a new Chebyshev criterion

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Joint works with Jie Li, Haihua Liang and Xiang Zhang

This is a work derived from

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- J. Huang, J. Torregrosa, J. Villadelprat, On the Number of Limit Cycles in Generalized Abel Equations, SIAM Journal of Applied Dynamical systems, 19(2020), 2343-2370.
- M. Grau, F. Mañosas, J. Villadelprat, A Chebyshev criterion for Abelian integrals, Transactions of the American Mathematical Society, 363(2011), 109-129.
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- Introduction and background
- Results of Limit cycle bifurcations
- Structure of the Melnikov functions
- Chebyshev systems and a new family
- Further discussion on the Chebyshev criterion

• Consider a generalized Abel equation:

$$\dot{x} = \sum_{i=l_1}^{l_2} a_i(\theta) x^i, \quad l_1 \leq l_2 \in \mathbb{Z}, \quad a_{l_1}, \cdots, a_{l_2} \in \mathrm{C}^{\infty}(\mathbb{S}^1).$$
(1)

- $(l_1, l_2) = (0, 2)$: Riccati equation;
- $(l_1, l_2) = (0, 3)$: Abel equation.
- Periodic solution $x(\theta)$: The solution with $x(0) = x(2\pi)$.
- Periodic orbit (resp. limit cycle) x = x(θ): The orbit on the cylinder S¹ × I where x(θ) is a periodic solution (resp. isolated periodic solution).
- Background 1: Hilbert's 16th problem.
 - Some planar differential systems, e.g. Rigid systems, systems defined by the sum of two homogeneous vector fields, can be changed into equation (1).

- An important problem for (1):
 - Estimate its number of limit cycles (posed by Smale and Pugh).
- Denote by \mathcal{N} the number of limit cycles of (1).
- Some classical works (since 1980s):
 - (*l*₁, *l*₂) = (0, 1), (0, 2): N ≤ 1 (resp. N ≤ 2) (Lins-Neto, Invent. Math.; Lloyd, J. London Math. Soc.).
 - $(l_1, l_2) = (0, 3)$ (i.e. Abel equation):
 - $\mathcal{N} \leq 3$ if $a_3(t) \neq 0$ or $a_2(t) \neq 0$ and $a_0(t) = 0$ (Lins-Neto, Lloyd, Gasull and Llibre).
 - \mathcal{N} is not bounded for general case (Lins-Neto).
 - $l_2 > 3$: Similar to $(l_1, l_2) = (0, 3)$.

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- For this reason, a more specific problem arises:
 - Given fixed l_1 and l_2 , whether the maximum number of \mathcal{N} for trigonometrical equation (1), denoted by $\mathcal{H}(m)$, is bounded in terms of the degree m of the coefficients?
- Some works on the lower bound of $\mathcal{H}(m)$ in this line:
 - Neto, A. L., On the number of solutions of the equation $\frac{dx}{dt} = \sum_{j=0}^{n} a_j(t)x^j, 0 \le t \le 1$, for which x(0) = x(1), Invent. Math., 1(1980), 67-76.
 - Álvarez, M. J., Gasull, A. & Yu, J., Lower bounds for the number of limit cycles of trigonometric Abel equations, JMAA, 342(2008), 682-693.
 - A. Gasull, C. Li, J. Torregrosa, A new Chebyshev family with applications to Abel equations, JDE, 252(2012), 1635-1641.
 - J. Huang, J. Torregrosa, J. Villadelprat, On the Number of Limit Cycles in Generalized Abel Equations, SIADS, 19(2020), 2343-2370.

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- Background 2: Reduction of differential equations from real-world models:
 - Van der Pol equation, Liénard equation;
 - Some single-input, second-order bilinear control system;
 - Einstein-Friedmann equation;
 - Reaction-diffusion equation (from a brain tumors model);
 - Pendulum-like systems (Gasull et.al., 2016, 2020; Yang, 2021):

$$\begin{cases} \dot{x} = y, \\ \dot{y} = -\sin x + \varepsilon Q(x)y^{p}, \end{cases} \text{ and } \begin{cases} \dot{x} = y, \\ \dot{y} = \sin x (\cos x - \gamma) + \varepsilon Q(x)y^{p}, \end{cases}$$

which can be changed into

$$\frac{dy}{dx} = A(x)y^{-1} + \varepsilon Q(x)y^{p-1}, \quad A(x) = -\sin x \text{ or } \sin x \left(\cos x - \gamma\right).$$

- The consideration of the real-world factors is necessary.
 - For instance, sudden behaviors and discontinuous phenomena.

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- Background 3: The problem of planar piecewise smooth differential systems.
 - The study goes back to several works of the authors A. Andronov, A. Vitt and S. Khaikin (1930s).
 - More multifarious behaviors of the orbits.
 - For instance, systems with a separation straight line:
 - Continuous piecewise linear systems: at most 1 limit cycle (reachable) (Fieire et.al., 1998; Llibre et.al., 2013).
 - Discontinuous piecewise linear systems: examples of 3 limit cycles (Huan et.al., 2013; Llibre et.al., 2012), the maximum number is at most 8 (Novaes et. al., 2022).
 - Note: The separation boundary plays an important role in determining the number of limit cycles (Braga et.al., 2014; Novaes et. al., 2015; Cardin et. al., 2016).

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• Stimulated by backgrounds 1-3, we focus on the following type:

$$\frac{dx}{d\theta} = a_p(\theta)x^p + a_q(\theta)x^q, \quad p, q \in \mathbb{Z} \setminus \{1\}, \ \frac{q-1}{p-1} \notin \mathbb{Z}_{\leq 1}, \ (2)$$

where a_p , a_q are piecewise 2π -trigonometrical polynomials of degree m with two zones $0 \le \theta < \theta_1$ and $\theta_1 \le \theta \le 2\pi$.

- Denote by H_{θ1}(m) the maximum number of positive and negative limit cycles of equation (2).
- Our goal:
 - Provide a lower bound for $\mathcal{H}_{\theta_1}(m)$;
 - Study how the number of limit cycles is affected by θ₁, i.e., the location of the separation line θ = θ₁ (closely related to the work of Cardin et. al., 2016).

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• A perturbed equation to be observed:

$$\frac{dx}{d\theta} = \sin \theta x^{p} + \sum_{i=1}^{N} Q_{i}(\theta) \varepsilon^{i} x^{q}, \qquad (3)$$

where $p,q\in\mathbb{Z}ackslash\{1\}$, $rac{q-1}{p-1}
otin\mathbb{Z}_{\leq 1}$ and

$$Q_i(\theta) := \begin{cases} Q_i^+(\theta) = \sum_{k=0}^m \left(c_{ik}^+ \sin k\theta + d_{ik}^+ \cos k\theta \right), & 0 \le \theta < \theta_1, \\ Q_i^-(\theta) = \sum_{k=0}^m \left(c_{ik}^- \sin k\theta + d_{ik}^- \cos k\theta \right), & \theta_1 \le \theta \le 2\pi, \\ i = 1, \cdots, n. \end{cases}$$

• The smooth case with p = -1 and N = 1: perturbed pendulum (Gasull et. al., JDE, 2016, 2020).

• Remark for equation (3).

- The solution x = x_ε(θ, ρ), is smooth with respect to ε and ρ, and piecewise smooth with respect to θ, respectively;
- Displacement function:

$$x_{arepsilon}(2\pi,
ho)-
ho=\sum_{i=1}^{\infty}arepsilon^{i}M_{i}(
ho) \quad ext{with} \quad M_{i}(
ho)=rac{1}{i!}\partial_{arepsilon}^{i}\left(x_{arepsilon}(2\pi,
ho)
ight)|_{arepsilon=0}.$$

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Theorem 1 (Huang & Li, NA-RWA, 2022)

Assume that M_n is the first non-vanishing Melnikov function of equation (3) with $n \le N$. Let $Z_n(m)$ be the maximum number of isolated zeros of M_n on I, counted with multiplicity. Then, the value of $Z_n(m)$ with respect to the value of θ_1 and the parity of p, are given in Table 30.

	$ heta_1 \in (0,\pi) \cup (\pi,2\pi)$	$\theta_1 = \pi$	$ heta_1 = 2\pi$
p is even	3m + 1	2 <i>m</i>	т
p is odd	2(3m + 1)	4 <i>m</i>	2 <i>m</i>

Table: The values of $Z_n(m)$.

Theorem 2 (Huang & Li, NA-RWA, 2022)

The maximum number of positive and negative limit cycles $\mathcal{H}_{\theta_1}(m)$ for equation (2), with respect to the value of θ_1 and the parities of p and q, verifies the estimates in Table 2.

	$ heta_1 \in (0,\pi) \cup (\pi,2\pi)$	$\theta_1 = \pi$	$\theta_1 = 2\pi$				
Both p and q are even	$\geq 3m + 1$	$\geq 2m$	$\geq m$				
Either p or q is odd	$\geq 2(3m+1)$	≥ 4 <i>m</i>	$\geq 2m$				
Table: Estimates of $\mathcal{H}_{\theta_1}(m)$.							

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• To illustrate the expression of the Melnikov function M_n , we introduce two families of integrals

$$C_{k}^{E}(z) = \int_{E} \cos k\theta \left(\frac{1}{1+z(1-p)(1-\cos\theta)}\right)^{\frac{q-p}{p-1}} d\theta,$$

$$S_{k}^{E}(z) = \int_{E} \sin k\theta \left(\frac{1}{1+z(1-p)(1-\cos\theta)}\right)^{\frac{q-p}{p-1}} d\theta.$$
(4)

3. Structures of the Melnikov functions

Lemma 3

If $n \leq N$ and $M_1 = M_2 = \cdots = M_{n-1} \equiv 0$, then the n-th order Melnikov function of equation (3), is given by $M_n(\rho) = \rho^p \int_0^{2\pi} Q_n(\theta) x_0 (\theta, \rho)^{q-p} d\theta$. Furthermore, $M_n(\rho)$ can be written as

$$M_{n}(\rho) = \rho^{q} \left(\sum_{k=0}^{m} \left(d_{nk}^{+} + d_{nk}^{-} \right) \mathcal{C}_{k}^{E_{1}}(\rho^{p-1}) + \sum_{k=1}^{m} \left(c_{nk}^{+} - c_{nk}^{-} \right) \mathcal{S}_{k}^{E_{1}}(\rho^{p-1}) + 2 \sum_{k=0}^{m} d_{nk} \mathcal{C}_{k}^{E_{2}}(\rho^{p-1}) \right),$$

where $E_1 = (0, \theta_1)$, $E_2 = (\theta_1, \pi)$ and $d_{nk} = d_{nk}^-$ (resp. $E_1 = (0, 2\pi - \theta_1)$, $E_2 = (2\pi - \theta_1, \pi)$ and $d_{nk} = d_{nk}^+$) when $\theta_1 \in (0, \pi]$ (resp. $\theta_1 \in (\pi, 2\pi]$).

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• Difficult and key point: Analyze the structures of the families of functions

$$\mathcal{C}_0^{E_1},\ldots,\mathcal{C}_m^{E_1};\ \mathcal{S}_0^{E_1},\ldots,\mathcal{S}_m^{E_1};\ \mathcal{C}_0^{E_2},\ldots,\mathcal{C}_m^{E_2}.$$

- There are some known results:
 - $(\mathcal{C}_0^{E_i}, \ldots, \mathcal{C}_m^{E_i})$ is ECT-system (Gasull et. al., JDE 2012).
 - $(\mathcal{S}_0^{E_1}, \dots, \mathcal{S}_m^{E_1})$ is ECT-system (Gasull et. al., JDE 2020).

 \implies An interesting problem: Whether the union of some Chebyshev families also possesses the Chebyshev property?

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Definitions of Chebyshev systems.

Let

- f_0, f_1, \cdots, f_m : smooth functions an interval E.
- Z_k: Maximum number of isolated zeros of the linear combination of f₀, f₁, · · · , f_k on E, 0 ≤ k ≤ m.
- Then $\{f_0, f_1, \cdots, f_m\}$ is called
 - Chebyshev system (T-system) on E, if $Z_m = m$.
 - Extended Chebyshev system (ET-system) on E, if $Z_m = m$ counted with multiplicity.
 - Complete Chebyshev system (CT-system) on *E*, if *Z_k* = *k* for each *k* = 0, 1, · · · , *m*.
 - Extended complete Chebyshev system (ECT-system) on E, if $Z_k = k$ counted with multiplicity for each $k = 0, 1, \dots, m$.

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Equivalent definitions of CT/ECT-system.

• Notations: $f_0, \cdots, f_k := \mathbf{f}_k, t_0, \cdots, t_k := \mathbf{t}_k$ and

$$\begin{split} D[\mathbf{f}_k; \mathbf{t}_k] &= \det(f_j(t_i); \ 0 \leq i, j \leq k) \text{ (discrete Wronskian),} \\ W[\mathbf{f}_k](t) &= \det(f_j^{(i)}(t); \ 0 \leq i, j \leq k) \text{ (continuous Wronskian).} \end{split}$$

• Then
$$\{f_0, f_1, \dots, f_m\}$$
 is
• CT-system on $E \Leftrightarrow$ For each $k = 0, 1, \dots, m$,

 $D[\mathbf{f}_k; \mathbf{t}_k] \neq 0$ for all $\mathbf{t}_k \in E^{k+1}$ s.t. $t_i \neq t_j$ for $i \neq j$;

• ECT-system on $E \Leftrightarrow$ For each $k = 0, 1, \cdots, m$,

$$W[\mathbf{f}_k](t) \neq 0$$
 for all $t \in E$.

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- Some related works on efficiently determining the Chebyshev property of the families:
 - [Gasull, Li, Llibre & Zhang, *PJM*, 2002] studies the elliptic integrals by the argument principle.
 - [Grau, Mañosas & Villadelprat, *TAMS*, 2011] and [Gasull, Geyer & Mañosas, *JDE*, 2020] studies some Abelian integrals via Chebyshev properties of the integrands.
 - [Gasull, Li & Torregrosa, *JDE*, 2012] studies based on Gram determinant.
 - [Gasull, Lázaro & Torregrosa, *JMAA*, 2012] studies via Derivation-Division algorithm.
 - [Cen, Liu & Zhao, *JDE*, 2020] studies some Abelian integrals according to asymptotic expansions of the Wronskians.
 - [Liu & Xiao, *JDE*, 2020] gives a new methods motivated by the idea of criterion functions.

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Theorem 4 (Huang & Li, NA-RWA, 2022)

Let C_k^E and S_k^E be defined in (4). For any fixed $m \in \mathbb{Z}^+$, $J_1 = [0, \vartheta]$ and $J_2 = [\vartheta, \pi]$ with $\vartheta \in (0, \pi]$, the ordered set of functions

$$\left(\mathcal{C}_0^{J_1},\cdots,\mathcal{C}_m^{J_1},\mathcal{S}_m^{J_1},\cdots,\mathcal{S}_1^{J_1},\mathcal{C}_0^{J_2},\cdots,\mathcal{C}_m^{J_2},\mathcal{S}_m^{J_2},\cdots,\mathcal{S}_1^{J_2}\right)$$
(5)

is an ECT-system on $(-\infty, \frac{1}{2p-2})$ (resp. $(\frac{1}{2p-2}, +\infty)$) when p > 1 (resp. p < 1). Here we have used the convention: when $\vartheta = \pi$ the set is

$$\left(\mathcal{C}_0^{J_1},\cdots,\mathcal{C}_m^{J_1},\mathcal{S}_m^{J_1},\cdots,\mathcal{S}_1^{J_1}
ight)$$

Key points of the proof:

- "Commutativity" of integration and determinant (inspired by the spirit of [Grau et al, *TAMS*, 2011]).
- Decomposition of the "huge" determinant.

Remark for the Theorem 4:

• Applicable to simultaneously analyzing the first non-vanishing Melnikov function in both the smooth and the piecewise smooth cases.

Actually, Theorem 4 is a corollary of a result of our recent work (Huang, Liang & Zhang, JDE, 2023).

Suppose that E₀,..., E_n are non-intersecting intervals, and E is an open interval that contains Uⁿ_{i=0} E_i. Consider a family

$$\mathcal{F} = \bigcup_{i=0}^{n} \{ I_{i,0}, I_{i,1}, \dots, I_{i,m_i} \},$$
(6)

where

$$I_{i,j}(y) := \int_{E_i} \frac{f_{i,j}(t)}{(1-yg(t))^{\alpha}} dt,$$

with g being monotonic on E and $\alpha \in \mathbb{R} \setminus \mathbb{Z}_0^-$.

Theorem 5 (Huang, Liang & Zhang, JDE, 2023)

The set of ordered functions, \mathcal{F} in (6), forms an ECT-system on an open interval $U \subseteq \{y \in \mathbb{R} : (1 - yg(t))|_{t \in E} > 0\}$, if the following hypothesis holds: (**H**) For each $i \in \{0, ..., n\}$ the ordered functions $\{f_{i,0}, ..., f_{i,m_i}\}$ form a CT-system on E_i .

Highlight of Theorem 5:

• A criterion on how the Chebyshev property of subfamilies of functions can be continued to their union.

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• We introduce two more families of integrals

$$C_{k}^{E}(y) = \int_{E} \frac{\xi_{E}(\theta) \cos k\theta}{(1 - y \cos \nu \theta)^{\alpha}} d\theta,$$

$$S_{k}^{E}(y) = \int_{E} \frac{\xi_{E}(\theta) \sin k\theta}{(1 - y \cos \nu \theta)^{\alpha}} d\theta,$$
(7)

where $\nu \in \mathbb{Z}^+$, $\alpha \in \mathbb{R}$ and ξ_E is an analytic non-vanishing function defined on E.

Some new ECT-systems from Theorem 5:

• $\bigcup_{i=0}^n \mathcal{F}_i$ on (-1,1), where \mathcal{F}_i is one of the ordered sets

$$\left\{ S_1^E, S_2^E, \dots, S_{m+1}^E \right\}, \quad \left\{ C_0^E, C_1^E, \dots, C_m^E \right\}, \\ \left\{ C_0^E, C_1^E, \dots, C_m^E, S_m^E, S_{m-1}^E, \dots, S_1^E \right\},$$

with $E = E_i$ and $m = m_i \in \mathbb{Z}_0$, and E_0, E_1, \ldots, E_n being non-intersecting open intervals contained in $(0, \pi)$.

• The set of ordered functions

$$\{1, y, \ldots, y^{m_0}\} \bigcup \left(\bigcup_{i=1}^n \{(y+a_i)^\beta, y(y+a_i)^\beta, \ldots, y^{m_i}(y+a_i)^\beta \} \right)$$

on
$$(-a_n, +\infty)$$
, where $a_1 > a_2 > \ldots > a_n \in \mathbb{R}$,
 $m_1 \ge m_2 \ge \ldots \ge m_n \in \mathbb{Z}_0^+$, $m_0 \in \mathbb{Z}_0^+$ and
 $\beta \in (\mathbb{R} \setminus \mathbb{Z}_0^+) \cap (-\infty, m_0 - m_1 + 1)$.

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Example 1: Piecewise smooth planar differential systems separated by rays

$$\Sigma := \bigcup_{i=0}^{n} \left\{ (x, y) = (r \cos \vartheta_i, r \sin \vartheta_i) : r \in \mathbb{R}^+ \right\},$$

$$n \ge 1, \ \vartheta_0 < \vartheta_1 < \dots < \vartheta_n \in [-\pi, \pi).$$

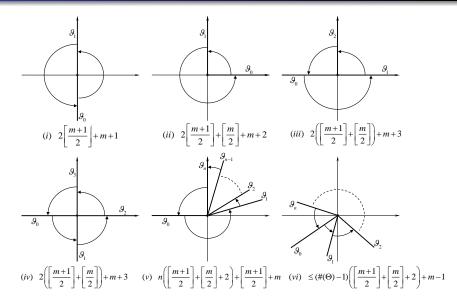
• A series of previous works (Buzzi, Cardin, Li, Liu, Llibre, Novaes, Torregrosa, Zhang, et al.).

Consider

$$\frac{d}{dt}\begin{pmatrix}x\\y\end{pmatrix} = \begin{pmatrix}-y(1-ax) + \varepsilon P_m(x,y)\\x(1-ax) + \varepsilon Q_m(x,y)\end{pmatrix},$$
(8)

where $a \neq 0$ and P_m, Q_m are piecewise polynomials of degree m separated by Σ .

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• Derivative of the modified first order Melnikov function:

$$y^{m+1}M_1^{(m)}(y) = \sum_{s=0}^n \left(\sum_{\rho=0}^{\left[\frac{m+1}{2}\right]} \eta_{s,\rho} C_{m+1-2\rho}^{E_s}(y^{-1}) + \sum_{\rho=0}^{\left[\frac{m}{2}\right]} \lambda_{s,\rho} S_{m+1-2\rho}^{E_s}(y^{-1}) \right),$$

where $E_s = (\vartheta_s, \vartheta_{s+1})$, $\xi_{E_s} = 1$ and $\vartheta_{n+1} = 2\pi + \vartheta_0$.

• Set $Z(M_1)$: Maximum number of isolated positive zeros of M_1 .

Then,

- An efficient and unified way to estimate Z(M₁) for arbitrary separation rays Σ is realized.
- Some mechanism that how $Z(M_1)$ is affected by the symmetry (distribution) of $\vartheta_0, \dots, \vartheta_n$ is exhibited. In fact,

 $Z(M_1) \leq (\#(\Theta) - 1)\left(\left[\frac{m+1}{2}\right] + \left[\frac{m}{2}\right] + 2\right) + m - 1,$ $\Theta = \{0, \frac{\pi}{2}, \pi, |\vartheta_0|, \cdots, |\vartheta_n|\}.$

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Example 2: Smooth planar differential systems with homogeneous nonlinearities of degree m.

- Set H(m): Maximum number of limit cycles surrounding the origin that such systems can have.
- A problem posed in [Gasull, Yu & Zhang, JDE, 2015]:

	Node	Saddle	Strong focus	Weak focus	Nilpotent singularity
<i>m</i> is odd	$\geq \left[\frac{m}{2}\right] + 1$	$\geq \left[\frac{m}{2}\right] + 1$	$\geq \left[\frac{m}{2}\right] + 1$	$\geq \left[\frac{m}{2}\right]$	$\geq \left[\frac{m}{2}\right]$
m is even	=0	=0	?	?	=0

Table: Estimates of H(m).

Problem: "Whether the result for the remaining cases is similar to the ones when n is odd."

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A positive answer to the problem:

• Consider the system (with a weak focus when $\varepsilon \neq 0$):

$$\frac{d}{dt} \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} -y + \frac{1}{2m-1} x^2 (x^2 + y^2)^{m-1} + \varepsilon P_{2m}^H(x, y) \\ x + \frac{1}{2m-1} xy (x^2 + y^2)^{m-1} + \varepsilon Q_{2m}^H(x, y) \end{pmatrix}.$$
 (9)

• First order Melnikov function of (9):

 $M_1(y) = 2y^{2m-1} \sum_{k=0}^m \lambda_k C_{2k}^E(y^{2m-1}), \text{ with } E = (0,\pi) \text{ and } \xi_E = \sin^2 \theta.$

Then,

- Weak focus: $H(2m) \ge m$ (i.e. $H(m) \ge \lfloor \frac{m}{2} \rfloor$ when m is even).
- Strong focus: H(2m) ≥ m + 1 (i.e. H(m) ≥ [m/2] + 1 when m is even) taking Hopf bifurcation into account.

Example 3: Smooth perturbation for a system having a center and a family of vertical and horizontal lines of singularities [Gasull, Lázaro & Torregrosa, *NA*, 2012]:

$$\frac{d}{dt}\begin{pmatrix}x\\y\end{pmatrix} = \begin{pmatrix}-y\prod_{i=1}^{n_1}(x-a_i)\prod_{j=1}^{n_2}(y-b_j) + \varepsilon P_m(x,y)\\x\prod_{i=1}^{n_1}(x-a_i)\prod_{j=1}^{n_2}(y-b_j) + \varepsilon Q_m(x,y)\end{pmatrix},$$
(10)

• Modified first order Melnikov function:

$$M_1(y) = \sum_{a \in A} \left(P_{a, \left[\frac{m}{2}\right] + \#(D)}(y)(y+a)^{-\frac{1}{2}} + R_{\left[\frac{m-1}{2}\right] + \#(D)}(y) \right),$$

where $P_{a,k}$, R_k are polynomials of degree k, and D, A are number sets related to a_i, b_i .

- *M*₁ is analyzed in by Derivation-Division algorithm [Gasull et. al., *NA*, 2012].
- Instead, note that

$$M_{1} \in \left\{1, y, \cdots, y^{\left[\frac{m-1}{2}\right] + \#(D)}\right\}$$
$$\bigcup \left(\bigcup_{a \in A} \left\{(y+a)^{-\frac{1}{2}}, y(y+a)^{-\frac{1}{2}}, \cdots, y^{\left[\frac{m}{2}\right] + \#(D)}(y+a)^{-\frac{1}{2}}\right\}\right)$$

which can be analyzed using the last new family.

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Thank you!

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